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**DRAFT**  
**EFFECTIVENESS MONITORING COMMITTEE (EMC)**  
**Strategic Plan**



**Submitted to the California Board of Forestry and Fire Protection**

*September 15, 2015 Draft*

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## LIST OF ABBREVIATIONS

ASP	Anadromous Salmonid Protection
BMPs	Best Management Practices
Board	California State Board of Forestry and Fire Protection
CalEPA	California Environmental Protection Agency
CAL FIRE	California Department of Forestry and Fire Protection
CCR	California Code of Regulations
CDFW	California Department of Fish and Wildlife
CEQA	California Environmental Quality Act
CFR	Code of Federal Regulations
CGS	California Geological Survey
CNRA	California Natural Resources Agency
DSF	Demonstration State Forests
EMC	Effectiveness Monitoring Committee
ESA	Endangered Species Act
ESU	Evolutionarily Significant Unit
<u>FGC</u>	<u>Fish and Game Code</u>
<u>FGCom</u>	<u>Fish and Game Commission</u>
FORPRIEM	FPRs Implementation and Effectiveness Monitoring Program
FPA	Forest Practice Act
FPRs	California Forest Practice Rules
HCP	Habitat Conservation Plan
HMP	Hillslope Monitoring Program
LTO	Licensed Timber Operator
LTSY	Long Term Sustained Yield
MCR	Modified Completion Report Monitoring Program
MSG	Monitoring Study Group
NMFS	National Marine Fisheries Service
NPS	Non-point Source
NRV	Natural Range of Variability
Plans	THP and all other harvest documents as defined under 14 CCR 895.1
RPF	Registered Professional Forester
RSC	Research and Science Committee
<u>RWBPP</u>	<u>Regional Water Board Basin Plan</u>
SWAMP	Surface Water Ambient Monitoring Program
TRFR	Timber Regulation and Forest Restoration Program
<u>THP</u>	<u>Timber Harvest Plan</u>
UCCE	University of California Cooperative Extension
USEPA	U.S. Environmental Protection Agency
USFS	U.S. Department of Agriculture, Forest Service
Water Boards	State and Regional Water Quality Control Boards

WHR Wildlife Habitat Relationship  
WLPZ Watercourse and Lake Protection Zone  
Working Groups AB1492 program Working Groups: Ecological Performance Measures,  
Data and Monitoring, Administrative Performance Measures and  
Interagency Information Systems.

## 1.0 INTRODUCTION

The following is the Strategic Plan for the Effectiveness Monitoring Committee (EMC) of the California State Board of Forestry and Fire Protection (Board). The EMC was formed in 2014 to develop and implement a monitoring program to address both watershed and wildlife concerns and to provide a better active feedback loop to policymakers, managers, agencies, and the public. Effectiveness monitoring is necessary for assessing if management practices are achieving the various resource goals and objectives set forth in the California Forest Practice Act and Rules (EMC Charter 2014, MacDonald et al. 1991) and is a key component of adaptive management. Effectiveness monitoring is also a crucial component for complying with the “ecological performance” reporting requirements outlined in AB 1492. The types of monitoring potentially utilized by the EMC are briefly explained in Figure 1.

**Comment [SLF1]:** Section 1.0 introduction text provided by Drew based on August 18th EMC discussion

This Strategic Plan communicates the EMC’s goals, actions necessary to achieve the goals, and critical components of the planning process. Section one of the document provides a brief background on forest practice related monitoring in California, describes the membership of the EMC, the goals of the committee, and ground rules for interaction among committee members. Section two describes the overall strategic plan or “road map”, including the development of critical questions, monitoring priorities by entity/organization, critical questions organized by themes, and proposed monitoring projects for the current year. Since monitoring is a key component for adaptive management, section three describes the EMC and Board’s role in an adaptive management framework. Section four describes important elements of the planning process such as scale considerations for monitoring study design, and the importance of considering variability and stochastic events for strategic planning. Finally, the appendices contain a summary and listing of individual projects, along with the committee’s ranking of the proposed monitoring projects.

**Comment [SLF2]:** Drew would like the EMC to consider renaming Section 2.0 to Action Plan as referring to Section 2.0 as the Strategic Plan and the entire document as the Strategic Plan may be confusing for some?

### 1.1 Background

Effectiveness monitoring is a key component of adaptive management ~~and is necessary for assessing if management practices are achieving the various resource goals and objectives set forth in the California Forest Practice Act and Rules (EMC Charter 2014, MacDonald et al. 1991. Monitoring is also a crucial component for complying with the “ecological performance” reporting requirements outlined in AB 1492. and the EMC proposes to build upon and expand on previous monitoring work.~~ Over the past 20 years on California’s state and private forestlands implementation and limited short-term effectiveness monitoring has focused primarily on water quality related issues (Tuttle 1995, BOF 1999, Cafferata and Munn 2002, BCTF 2011, Brandow et al. 2006, Lee 1997, Longstreth et al. 2008, Brandow and Cafferata 2014). Longer-term cooperative instream monitoring studies have also studied potential impacts from contemporary harvesting practices on water quality and aquatic habitats. These projects have included: the Caspar Creek watershed study (Rice et al. 1979, Ziemer 1998, Lewis et al. 2001, Cafferata and Reid 2013), the Garcia River Instream Monitoring Project (Euphrat et al. 1998, Maahs and Barber 2001, Barber and Birkas 2006), the Little Creek Watershed Study (Skaugset et al. 2012, Loganbill 2013, Diatterick et al. 2015), the Judd Creek Watershed Study (MacDonald and James 2011), and the South Fork Wages Creek Watershed Study (RiverMetrics 2011). Existing monitoring approaches ~~Both of these approaches~~ have had limited use for adaptive management, and have only addressed water quality and aquatic habitat concerns. As such, the EMC proposes to incorporate more comprehensive, rigorous and hierarchical forms of monitoring to aid in adaptive management. The EMC Effectiveness Monitoring Committee (EMC) was formed in 2014 to develop and implement an effectiveness monitoring program

**Comment [SLF3]:** Co-chair citations that got inadvertently omitted.

**Comment [SLF4]:** More edits provided by Drew C. based on EMC Aug 18 discussion.

to address both watershed and wildlife concerns and to provide a better active feedback loop to policymakers, managers, agencies, and the public.

**Figure 1 Monitoring Types**

•	Implementation	Assess whether management practices were conducted as designed and planned.
•	Compliance	Monitoring used to determine whether specific rule, regulation, code or policy is being met.
•	Effectiveness	Evaluation of whether a specific management practice had the desired effect.
•	Project	Assesses the impact of a specific management activity or project, Can be a subset of Effectiveness monitoring.
•	Validation	Evaluation of existing data sets or <u>both numerical and conceptual models including management</u> models
•	Baseline	To identify temporal variability for planning and future comparison.
•	Trend	Conducted at regular, well-spaced intervals to determine long-term trend to evaluate management practices or evaluate models.

*(Adapted from MacDonald et al. 1991)*

**1.21 EMC Charter**

The charter directs the EMC to be a collaborative, transparent, and science-based monitoring effort. A goal of the EMC is to develop a-and process-based understanding of the effectiveness of the California Forest Practice Rules (FPRs) and other natural resource protection statues and laws, codes and regulations including the California Endangered Species Act, federal Endangered Species Act, Porter-Cologne Water Quality Act, federal Clean Water Act and Fish and Game Code (Figure 2). We refer to these collectively, herein after referred to as the FPRs and associated regulations, in maintaining or enhancing water quality, aquatic habitat, and wildlife habitats. (Figure 1).

**Comment [SLF5]:** Co-chair edits based on Aug 18th EMC discussion.

**1.21.1 EMC Current Membership**

In 2014, the Board of Forestry and Fire Protection (Board) appointed two co-Chairs, 15 committee members and identified ~~six~~five support staff (Appendix A). The members represent a wide range of natural resource expertise from academia, state and federal agencies, private and state forestland owners, and the public. Their expertise includes forest management, hydrology, geology, aquatic ecology, fisheries, wildlife management, and resource monitoring and sampling. The committee has

held initial meetings to develop the committee structure and tasks for 2015. Currently the co-chairs are facilitating meetings to ensure all actions and recommendations are made by consensus whenever possible. If failure to reach consensus occurs, the record (i.e. meeting notes) shall specify the key differences and the reasons consensus could not be reached. In 2015, the co-Chairs and Executive Officer of the Board established each committee members respective term duration (Appendix A).

**Figure 2 EMC charter goals**

- (a) Provide a framework and support to comply with the reporting requirements of AB 1492 (Appendix B).
- (b) Support an adaptive management process by providing feedback to the Board regarding California FPRs effectiveness.
- (c) Facilitate and recommend monitoring practices to evaluate how well current practices restore and maintain riparian, aquatic, and terrestrial habitat on private and state forestlands for state and federally listed species and priority species of concern (aquatic and terrestrial).
- (d) Ensure that the process is consistent with the goals of the Clean Water Act for water quality on private and state forestlands
- (e) Ensure that the process is consistent with the goals of the Federal and State Endangered Species Acts on private and state forestlands.
- (f) Ensure that appropriate scientific methods and statistical evaluation, when necessary, are used to evaluate effectiveness of California FPRs and other forestry-related laws and regulations.
- (g) Encourage dissemination of information through general public and scientific outlets.
- (h) Promote use of State Demonstration Forests for effectiveness monitoring of FPRs, water quality laws and Fish and Game codes, and other forestry-related laws and regulations.

### 1.24.2 EMC Ground Rules

As described in the EMC Charter, EMC meetings shall be publicly noticed and will be open to all interested parties, following the Bagley-Keene Open Meeting Act requirements. Board appointed EMC members are encouraged to follow meeting “ground rules” to foster a collaborative scientific-based approach to achieving the stated goals and objectives of the EMC.

These ground rules include a commitment to:

- ( 1 ) Attempt to reach consensus.
- ( 2 ) Attend all scheduled meetings.
- ( 3 ) Listen carefully and ask questions to better understand unclear issues.
- ( 4 ) Have the EMC receive priority attention, staffing, and time.

- ( 5 ) Have all EMC members clearly define the purposes and goals of their organizations.
- ( 6 ) Have all EMC members recognize the legitimacy of the goals and differing perspectives of other EMC member organizations.

### 1.32 EMC Annual Reporting

The EMC will periodically report milestones and accomplishments to the Board. This periodic reporting will typically occur as an annual report to the Board, stakeholders and the public. Annually, the Board provides a report to the Legislature which documents Board and Department progress toward attainment of their previous goals and allows for public input on the direction of future Board goals. It is anticipated that in the first years of the EMC this annual report will be part of the Boards annual report to the Legislature. As significant accomplishments are achieved, the EMC annual report will be a standalone report to the Board.

### 1.43 EMC Personnel and Funding

The EMC anticipates that dedicated staff and funding may be necessary to achieve some EMC goals and objectives, and support projects reviewed and supported by the EMC. Public agencies and departments including CAL FIRE, CDFW, Water Boards, CGS, U.S. Forest Service, NMFS and the California Natural Resources Agency (CNRA) have committed personnel to participate in the EMC discussions and meetings. Private landowners, conservation groups and universities have also committed personnel. CAL FIRE has also committed specific personnel to provide technical support to the EMC. [Beginning in ~~Currently, for~~ fiscal year 2015/2016](#), Board staff has ~~received~~[requested](#) the addition of one staff person funded by the Timber Regulation and Forest Restoration Fund to specifically support EMC efforts.

During development of the EMC Strategic Plan several critical needs for future personnel and funding have been identified. Typically, these critical needs will be necessary when EMC members and stakeholders cannot provide the necessary level of support or specialized technical expertise necessary to complete EMC sponsored projects. Critical needs identified include (not necessarily in order of importance):

- Literature review by technical expert(s).
- Study design or statistical review.
- Specialized statistical analysis or modeling
- Sponsorship of graduate students or contribution to an existing university study(s).
- ~~EMC planning, scheduling, meeting notes, annual reporting and making periodic updates to the EMC webpage.~~
- Ability to respond to rare and large event monitoring (see Section 4.2.2).
- EMC supported projects that require additional support for participation of university(s), specialized consulting or non-government organizations.
- Support for projects consistent with AB 1492 Working Groups. Also see Section 2.2 for more information related to the TRFR program.
- Funding to reimburse EMC members travel costs for meetings.
- Organizing and holding public outreach meetings to share EMC project information.
- Obtaining other sources of data or information for EMC sponsored projects (e.g. LiDAR, aerial photo acquisition).

**Comment [SLF6]:** Co-chair edit based on future Forester I position that is already funded.

## 2.0 EMC STRATEGIC PLAN OR "ROAD MAP"

The EMC Strategic Plan is the "road map" that will guide how the Committee intends to achieve the EMC goals and objectives. It is the intent to use the EMC Strategic Plan as a living document that is periodically updated. The overall EMC Strategic Plan is guided by seven primary objectives described in the EMC Charter which, for the purposes of developing critical monitoring questions, has been edited and summarized in Figure 32.

**Figure 3 Primary objectives in developing critical monitoring questions**

- Seek, accept and consider questions from stakeholders and the interested public.
- EMC members, in conjunction with the Board, should identify critical monitoring questions that address various EMC goals and objectives.
- Develop guidance for appropriate scientific methods and statistical evaluation used to evaluate effectiveness of California Forest Practice Rules.
- Increase understanding of the linkage between forest practices and the resource(s) of concern.
- Provide guidance for the acceptable level of scientific uncertainty across the broad spectrum of monitoring efforts from small-scale short-term monitoring to long-term replicated studies.
- Collaboratively develop methods to prioritize monitoring questions, and based on these methods, help select the highest priority projects to monitor.
- Promote collaborative fact-finding and understanding of scientific results at local, regional, and state levels.

### 2.1 Development of Critical Monitoring Questions

The first step in developing critical monitoring questions the EMC has sought and accepted priorities and monitoring questions from a wide variety of stakeholders including agency(s), department(s), board(s), EMC members and identifying key areas of concern of the interested public. Development of critical monitoring questions is an open and transparent public process where inclusion of priorities and public comments can be followed on the EMC web page ([http://bofdata.fire.ca.gov/board\\_committees/effectiveness\\_monitoring\\_committee/](http://bofdata.fire.ca.gov/board_committees/effectiveness_monitoring_committee/)). The EMC reviewed the various proposed priorities and monitoring questions and developed critical monitoring questions.

The second step is to submit to the Board for review a final list of critical monitoring questions along with a draft Strategic Plan. As part of their review the Board may provide guidance or suggested changes to the draft Strategic Plan. The EMC will consider Board guidance or suggested changes and submit a final list of critical monitoring questions with the Strategic Plan. Appendix D summarizes priorities and monitoring questions received, to date, from various stakeholders.

The third step is once critical monitoring questions are finalized, specific monitoring projects described in Appendix E will be evaluated (detailed information on project evaluation process is provided in Appendix G). The final step is to initiate EMC sponsored projects.

The following sections are a brief summary of the priorities and monitoring questions listed in Appendix D.

### 2.1.1 Board of Forestry and Fire Protection - Committee Priorities

For 2014, the Board's Forest Practice Committee and Management Committee provided six and two priorities, respectively. The Forest Practice Committee priorities focus, not necessarily in order of importance, on roads, cumulative effects and slash treatment. The Management Committee priorities focus on WLPZ effectiveness emphasizing use of Demonstration State Forests as potential sites for monitoring. All Board committee topics are discussed in more detail in the priorities included in Appendix D. Detailed information on how the EMC intends to monitor cumulative effects is provided below.

### 2.1.2 Board of Forestry and Fire Protection - Cumulative Effects

The Board identified cumulative effects during committee discussions and as priority in their Annual Report (Board 2014). Cumulative impacts in the FPRs are defined as founder originates in CEQA guidelines under (-14 CCR § 15355). Since the ~~The~~ EMC recognizes that management practices may have either positive or negative cumulative ~~impacts~~. The impacts, the EMC will refer to cumulative effects and cumulative impacts as interchangeable terms.

The Board has established several joint policies with the California Fish and Game Commission. These joint policies include Pacific Salmon and Andromous Trout (FGCom 2009), Hardwoods (FGCom 1994) and Pre, During and Post Fire Activities and Wildlife Habitat (FGCom 1994). Where these joint policies overlap with FPRs and associated regulations the EMC has highlighted the policy.

The Board understands that natural processes are complex and highly variable over time and space. In addition, our understanding of these processes and linkages are imperfect. However, it is known that on-site control of potential impacts offers the most direct and rapid mitigation of potential impacts and monitoring the effectiveness of these controls provides the best opportunity to increase our understanding of cause-and-effect relationships (i.e. linkages) between management and resources of concern. Also, if potential adverse impacts are minimized at the local scale, there should be reduced potential cumulative effects at a larger scale (MacDonald 2000). To attempt to address this priority cumulative effects the Board made three recommendations relevant to the EMC: (1) focus on effectiveness monitoring activities to support adaptive management approaches (MacDonald 2000), (2) research new computer modeling to improve analysis (Benda et al. 2007), and (3) improve collection of information from on-going analysis to create watershed databases for agencies and public use.

The EMC recognizes that cumulative effects encompass a broad spectrum of natural processes and their linkages over time and space (MacDonald 2000, MacDonald et al. 2004, Reid 1993). As such, the EMC has developed a hierarchical framework regarding how to monitor and evaluate potential cumulative

**Comment [SLF7]:** Edits to this Section 2.1.2 were made by Drew C. based on August 18th EMC discussion to reorganize the section and draw a closer link between first and second steps.

**Comment [DC8]:** I would like to tweak this sentence. As worded, it is unclear what priority we are addressing, as a priority has not been identified in the paragraph.

**Comment [SLF9]:** Co-chair inserted the cumulative effects term. We can discuss during EMC meeting.

effects. The first element of the framework is to monitor the causal linkages between FPRs and associated regulations and the resource(s) of concern at relatively small spatial and temporal scales, with special emphasis on understanding the management impacts on a particular resource and/or controlling natural process(es)(MacDonald and Coe 2007). The second element is to use a nested approach for monitoring, so that a hierarchy of information can be used to untangle the complexities that are inherent at larger spatial and longer temporal scales (MacDonald 2000). Finally, improving study design to identify appropriate spatial and temporal scales and identify potential variable interaction and indirect effects can greatly reduce spurious monitoring results (MacDonald 2000). This approach would limit problems that have confounded many previous attempts to manage cumulative effects by monitoring discrete causal linkages between FPRs and associated regulations and resource(s) of concern (MacDonald 2000). Section 4.2 provides more guidance on choosing the appropriate spatial and temporal scale for monitoring.

~~The EMC also recognizes that cumulative effects encompass a broad spectrum of natural processes and their linkages over time and space (MacDonald 2000, MacDonald et al. 2004, Reid 1993). The EMC has developed two compatible frameworks regarding how to monitor and evaluate potential cumulative effects. One, to monitor at relatively smaller spatial and temporal scales the causal linkages between FPRs and associated regulations and the resource(s) of concern, with special emphasis on understanding the management impacts on a particular resource and/or controlling natural process(es)(MacDonald and Coe 2007). Also, improved study designs that identify appropriate spatial and temporal scales and identify potential variable interaction and indirect effects can greatly reduce spurious monitoring results (MacDonald 2000). This approach would limit problems that have confounded many previous attempts to manage cumulative effects by monitoring discrete causal linkages between FPRs and associated regulations and resource(s) of concern (MacDonald 2000).~~

~~Many aquatic resources including public trust resources can also occupy habitat in larger watersheds and terrestrial resources at large spatial scales. Accordingly, monitoring and evaluating potential cumulative effects is also needed at these relatively larger spatial and longer temporal scales. However, at larger spatial and longer temporal scales understanding of potential cumulative effects are limited by wide variation in study site conditions, forest management effects on different site conditions, limited ability to isolate indirect effects, difficulty in validating predictive models that are typically used at larger scales, and uncertainty of future environmental events over longer temporal scales (MacDonald 2000). To minimize these potential limitations, we propose a second compatible framework that uses a nested approach for monitoring, so that a hierarchy of information can be used to untangle the complexities that are inherent at larger spatial and longer temporal scales (MacDonald 2000). In other words, a hierarchical, nested approach to monitoring would help elucidate important linkages between site and project scale manipulations and ecological response at the watershed and regional scale. With this second compatible framework we can begin to better understand and establish linkages between the FPRs and associated regulations and the ecological performance of public trust resources of concern.~~

While much of the emphasis to date has been placed on cumulate watershed effectsSimilarly, many terrestrial public trust resources, including snags, dens, and nest trees for listed and other sensitive wildlife species are assumed to contribute to the overall health of timberlands, and the potential for cumulative effects to such resources are to be evaluated at multiple spatial scales per Technical Rule Addendum No. 2. For example, habitat elements like snags are an important component of wildlife habitat, providing nesting and denning substrate for numerous species and complexity to forest

structure, thus contributing to biological diversity. The FPRs contain specific measures to maintain and recruit key habitat elements like snags at the individual logging area scale so that potential adverse cumulative effects can be avoided at the biological assessment area scale (e.g. planning watershed). However, the FPRs also include exceptions to snag retention requirements for fire hazard reduction, safety, and other reasons [ (14 CCR § 919.1, ~~(939.1,959.1)~~ ]. In general, information regarding the FPRs effectiveness for snag retention is lacking, and is similarly lacking for other wildlife habitat components and characteristics, such as for protection of nest sites, retention and recruitment of large woody debris, hardwood cover, and late seral habitat connectivity. Thus, carefully designed and robust monitoring studies are needed to provide information on the effectiveness of Technical Rule Addendum No. 2 in identifying potential cumulative effects to wildlife habitat, and the opportunity for feedback and adaptive management. Due to the robust monitoring necessary and complexity of monitoring terrestrial resources across large, biologically relevant scales, that typically include multiple public and private landowners, monitoring of these terrestrial resources may also be appropriate for the AB 1492 Working Groups.

### 2.1.3 California Department of Fish and Wildlife

The California Department of Fish and Wildlife (CDFW) suggests a number of FPRs have long warranted monitoring for their effectiveness in helping to ensure timber operations do not cause or aggravate significant direct or cumulative effects on the environment and help to conserve public trust resources. In particular, there has been a paucity of information collected on the FPRs effectiveness regarding direct and cumulative effects on terrestrial wildlife resources. These include FPRs intended to protect, in particular, sensitive and other special-status species, maintain and recruit key habitat elements (e.g. snags), maintain late-succession forest stands, and avoid habitat fragmentation and/or maintain habitat connectivity. The effectiveness of the FPRs individually and cumulatively should be demonstrated as meeting the objectives stated under 14 CCR § 897 “Implementation of the Act Intent”, including:

“(B) Maintain functional wildlife habitat in sufficient condition for continued use by the existing wildlife community within the planning watershed and, (C) Retain or recruit late and diverse seral stage habitat components for wildlife concentrated in the watercourse and lake protection zones and as appropriate to provide functional connectivity between habitats”.

Additionally, many Fish and Game Code (FGC) statutes and Fish and Game Commission (FGCom) policies apply to timber operations regulated by the FPRs. For example, Fish and Game Code statutes that provide CDFW with authority over lake and streambed alterations (FGC § 1600 et seq.), over species designated as threatened or endangered species under the California Endangered Species Act (FGC § 2050 et seq.), and over pollution (FGC § 5650 et seq.) are commonly encountered during review of Plans. In addition, policies set forth by FGCom, such as the Raptor Policy, guide CDFW activities and coincide with the intent of the FPRs (FGC § 703 et seq.). Overall, effective FPRs and FGC statutes and FGCom policies related to fish and wildlife values should support forest ecosystem function, structure and species composition within defined ranges that constitute properly functioning conditions.

**Comment [CDFW10]:** Per discussion at EMC August 18 meeting. Edits provided by Amada C.

### 2.1.4 State and Regional Water Quality Control Boards

The Water Boards priorities are to participate in and support monitoring studies designed to increase our understanding of the effectiveness of FPRs and associated regulations in protecting the beneficial

uses of water from existing and potential impacts of forest management, and facilitate adaptive management to improve those FPRs and associated regulations, as necessary. While modern forestry practices have been substantially improved since the passage of the Z'Berg-Nejedly FPA in 1973 (Board 2014), the cumulative effects of past and ongoing land uses have degraded the health and proper function of aquatic ecosystems and beneficial uses of water in forested watersheds throughout the state. The Water Boards priorities for impaired water bodies are to evaluate FPRs and associated regulations effectiveness to prevent or minimize sediment discharge and restore impaired aquatic and riparian function, and preserve and restore cold water for beneficial uses through effective shade on watercourses. The spatial and temporal scale of monitoring studies may vary from short-term site or project-specific to long-term watershed or regional scales. Additional monitoring studies are needed to evaluate fuel loading in the WLPZs, restocking requirements, fuel breaks, and best management practices applied during and after timber harvest activities in wildfire-affected areas.

**Comment [SLF11]:** Based on EMC August 18 discussion

Monitoring studies should be designed to evaluate both the specific FPRs and associated regulations effectiveness and long-term watershed trends to help inform adaptive management of the FPRs and associated regulations, as they apply to all FPRs projects. Monitoring should be designed with clear objectives and goals, posing clear questions and using methods that can reasonably be expected to answer specific questions. An important component of the monitoring efforts should be a well-defined process for adaptive management based on study results. To establish reliability and enhance the confidence in the results, studies should use existing data collection standards or protocols linked to accessible data repositories appropriate for the type of data collected.

### 2.1.5 California Geological Survey

The California Geological Survey's (CGS) priorities focus on increasing our understanding of the FPRs effectiveness with regard to mass wasting, erosion, fluvial processes, and the construction techniques used for facilities such as roads, landings, and watercourse crossings. Management activities that affect these geologic processes have the potential to create local and cumulative effects to resources and in some cases public safety. Due to the diverse geologic, topographic, and climatic conditions across the state, management activities also have the potential to result in different levels of impact in specific terrain (e.g. steep convergent slopes vs. gentle convex slopes), in different portions of the state (e.g. areas with high rainfall and weak geologic materials vs. areas with lower rainfall and strong geologic materials), as well as when the activities are conducted (e.g. during the winter vs. the summer). Where and when management activities are conducted, as well as the practices employed, are critical to FPRs effectiveness. Monitoring activities that evaluate the geologic and construction practices above must take into account the geographic and temporal conditions where they are employed, and recognize that stochastic events (such as significant storms, rain on snow events, large earthquakes, and large wildfires) often have profound effects on the landscape. These events will also have a significant effect on the results of monitoring activities (e.g. monitoring during a drought vs. monitoring following a 20 year recurrence interval storm). Effective FPRs will address management activities such that geologic related impacts are reduced to less than significant. To achieve this, geologic related monitoring studies must include the range of short-term to long-term, of site-specific to regional scales, as well as response to episodic rare or large events.

Beyond geologic focused monitoring, aquatic and terrestrial effectiveness monitoring should also identify what appropriate temporal scale or specific rare and large events which may need identification as part of effectiveness monitoring. Identifying the appropriate temporal scale will assist in separating effectiveness of current FPRs versus potential impacts from forest management legacies (see Section 4.2) Additionally, identifying rare and large events like landslides and floods or impacts from drought, disease or wildfire can assist in separating effectiveness of current FPRs and associated regulations. Most importantly, some specific FPRs may need to be evaluated for effectiveness following both forest management operations and rare and large events (see Section 4.2.1).

### 2.1.6 California Department of Forestry and Fire Protection

The California Department of Forestry and Fire Protection (CAL FIRE) monitoring priorities are to evaluate the implementation (i.e., compliance) and effectiveness of the FPRs in protecting water quality, as has been undertaken for the past 20 years (see Section 1.0, Appendix H), and also to evaluate the FPRs effectiveness in protecting wildlife habitat for Board-listed sensitive and other important species.

Based on the results of previous monitoring programs, CAL FIRE encourages the EMC to undertake specific projects to determine the FPRs effectiveness related to WLPZ, road, and watercourse crossing requirements in maintaining acceptable water temperatures and nutrient inputs, as well as reducing management-related sediment inputs. More rigorous and scientifically defensible tests of the effectiveness of individual practices are needed. For example, monitoring of unstable area identification and unstable area prescription effectiveness is needed. Monitoring specifically for roads and watercourse crossings following large hydrologic events (e.g. storm recurrence intervals exceeding 20 years covering a large hydrologic basin) is needed to test the effectiveness of contemporary forest practices (see Section 4.2.2). The current FPRs effectiveness for meeting Basin Plan water quality objectives should also be an EMC priority. Further information is needed on chronic turbidity durations and spatial distributions at a watershed scale, and on their impacts to anadromous salmonid growth and survival.

Interactions between riparian conditions and in-stream nutrient dynamics must be better understood to appropriately manage riparian zones. Improved understanding is needed on how differences in riparian stand structure and composition affect seasonal light levels and nutrient availability, which influence primary production and thus salmonid production. On-going debates over appropriate levels of timber harvest in riparian zones make this a high priority research item for CAL FIRE. Factors affecting headwater stream temperatures also need to be better understood, particularly related to effectiveness of FPR protection measures for Class II watercourses. Additionally, the effectiveness of aquatic restoration projects needs more rigorous testing. Habitat restoration is critical for the survival of listed anadromous fish species in the Coast Ranges and CAL FIRE supports continued effectiveness monitoring of large wood enhancement projects undertaken to improve habitat for salmonids.

CAL FIRE believes that wildlife habitat effectiveness monitoring should be a high priority for the EMC. For example, CAL ~~FIRE encourages~~FIRE encourages the EMC to develop monitoring efforts to determine the effectiveness of measures used to ensure take avoidance and avoid significant adverse impact for Board-listed sensitive and other important species. CAL FIRE will work through the EMC to collaborate

with the other agencies on current wildlife monitoring efforts and to develop new monitoring approaches for sensitive species.

Finally, CAL FIRE supports effectiveness monitoring efforts in watersheds selected as pilot projects under AB 1492. CAL FIRE is beginning work with the other Review Team agencies to test a pilot approach for assembling available data on the planning watershed level to assess cumulative effects and identify opportunities for restoration of habitat for listed anadromous salmonids. Implementation of a proposed 'Watershed Pilots Program' will be used to develop strategies for data assembly and sharing for consistent THP preparation and review, to identify needs and opportunities for restoration, and to enable the development of forest practice ecological performance measures.

### 2.1.7 U.S. Forest Service

The U.S. Forest Service (USFS), ~~our state university system and the public have~~ a mutual interest in supporting monitoring efforts that are well designed, advance our scientific understanding of natural processes and are re-integrated through adaptive management into the FPRs. Also, the USFS is embracing an "all-lands" approach - working with adjacent landowners to reach common management goals. Several of the environmental factors that the USFS are required to monitor occur across administrative and ownership boundaries. The appropriate scale for monitoring will often include adjacent public and private lands. The EMC has an opportunity to develop shared monitoring between public and private lands.

In addition, the 2012 U.S. Forest Service Planning Rule (<http://www.fs.usda.gov/planningrule>) (36 CFR Part 219) requires the National Forests to create a monitoring program as part of new Land and Resource Management Plans. "...Each plan monitoring program must contain one or more monitoring questions and associated indicators addressing each of the following:

- (i) The status of select watershed conditions.
- (ii) The status of select ecological conditions including key characteristics of terrestrial and aquatic ecosystems.
- (iii) The status of focal species to assess the ecological conditions required under § 219.9.
- (iv) The status of a select set of the ecological conditions required under § 219.9 to contribute to the recovery of federally listed threatened and endangered species, conserve proposed and candidate species, and maintain a viable population of each species of conservation concern.
- (v) The status of visitor use, visitor satisfaction, and progress toward meeting recreation objectives.
- (vi) Measurable changes on the plan area related to climate change and other stressors that may be affecting the plan area.
- (vii) Progress toward meeting the desired conditions and objectives in the plan, including for providing multiple use opportunities.
- (viii) The effects of each management system to determine that they do not substantially and permanently impair the productivity of the land (16 U.S.C. 1604(g)(3)(C)..."

### 2.1.8 NOAA National Marine Fisheries Service

NOAA's National Marine Fisheries Service (NMFS) supports the Board's EMC charter goal of ascertaining whether the FPRs and associated regulations maintain or enhance water quality and aquatic habitat, particularly habitat that supports salmon and steelhead listed under the federal Endangered Species Act. NMFS also supports the overarching goal ~~of SB 1642~~ to create a unified effectiveness monitoring strategy to serve as a "road map" for focusing effort on the most urgent issues.

Seven species of salmon and steelhead are federally listed as threatened or endangered in California. Timber harvest is identified as a contributing factor that negatively impacts these listed species and their habitat. Recovery plans for these species recommend that the FPRs and associated regulations be evaluated and, if needed, modified to achieve sufficient habitat condition and population abundance necessary for recovery ([NMFS 2014](#)). NMFS encourages the Board to evaluate the effectiveness of FPRs and associated regulations addressing the rate of timber harvest and cumulative effects.

Examining a single FPR may not be the most effective approach in determining the effectiveness of regulating cumulative impacts in all cases. Rather, examining a suite of FPRs and associated regulations which are intended, collectively, to contribute to controlling cumulative impacts may be more informative. In addition, a proper examination of cumulative impacts likely involves ~~the~~ study at site, watershed, and regional scales by tracking trends in important indicators of species population health and habitat condition. While cumulative impacts may be avoided or minimized through site- or project-level controls (such as those found at FPRs within ~~the 14 CCR § 916 (936, 956)-14 CCR 916 series~~), validating whether such controls are effective at avoiding significant cumulative impacts, or degree to which they are minimized at various scales, is important for informed regulation of timber harvest in watersheds supporting listed salmonids.

### 2.1.9 Public Stakeholders

For the purposes of this Strategic Plan, public stakeholders include citizens, private landowners, universities and colleges, and a wide variety of interest groups. Because no one persons or entity can speak on behalf of public stakeholders, this summary is intended ~~to~~ describe input received from public stakeholders during the development of the Strategic Plan. Since continued input from public stakeholders is welcomed by the EMC, the Strategic Plan will be updated annually.

One consistent comment received from multiple conservation groups and individuals is to have the EMC Strategic Plan development, committee discussions, and public meetings as open and transparent as possible. To meet this public expectation, all EMC meetings are publically noticed with meeting agendas, previous meeting notes, and all EMC documents posted on the Board website under the EMC webpage. In addition, all EMC meetings are broadcast live via webinar with the goal of continuing to improve internet broadcast of meetings and interaction with the public.

The public also encourages the EMC to promote monitoring tools or protocols for landowner-based project scale monitoring. Use of project scale photo point monitoring (CVRWQCB 2014) has been a useful tool for water quality monitoring and may be appropriate for specific EMC critical questions. In

**Comment [SLF12]:** New text inserted based on discussion during EMC Aug 18 meeting.

addition, the EMC is encouraged to pursue development of easily implementable monitoring protocols to answer specific EMC critical monitoring questions when such protocols do not exist.

In general, public stakeholders support monitoring efforts that are well designed, advance our scientific understanding of natural processes and are re-integrated through adaptive management into the FPRs and associated regulations. Accordingly, the EMC Strategic Plan places a strong emphasis on identifying well designed scientific studies (Section 4.0) that will be able to inform review of existing FPRs through an Adaptive Management Framework (Section 3.0).

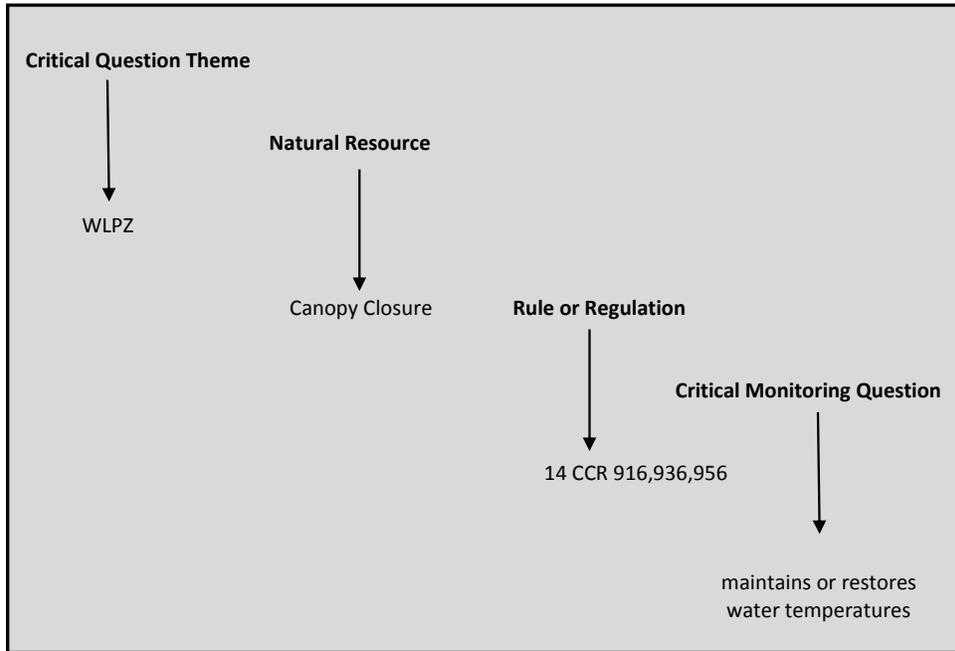
## 2.2 Ecological Performance - Timber Regulation and Forest Restoration Program

The Timber Regulation and Forest Restoration (TRFR) Program is directed by AB 1492 to develop ecological performance measures for state and private forestland management. The program is at only the very initial stages of this work, having released draft charters in late 2014 for several working groups, including the Ecological Performance Measures Working Group and the Data and Monitoring Working Group. Ultimately, the ecological performance measures will drive the monitoring questions that the TRFR Program needs to answer. In addition to relying on monitoring data currently being collected by a wide range of entities, the TRFR Program may be able to allocate resources from the TRFR Fund to develop additional monitoring that may be needed to support the ecological performance measures. Based on the draft Working Group charters, it will be some time in the future—~~2017mid-~~ ~~2016~~ at the earliest—that the working set of ecological performance measures will be developed.

## 2.3 EMC ~~Categorie~~Themes and Critical Monitoring Questions

EMC members, in conjunction with the Board, have reviewed priorities and monitoring questions provided by a wide variety of stakeholders and how they may achieve various EMC goals and objectives (See Appendix D for more detail). The specific FPRs for each priority or monitoring question and associated regulation or policy is also described in Appendix D. The EMC has transformed the priorities into critical monitoring questions following a specific structure which is intended to improve understanding and allow better comparisons between multiple monitoring questions (Figure 4).

**Figure 4 Example: EMC critical monitoring question structure**



During the development of critical monitoring questions the EMC summarized the questions by critical question themes. The monitoring questions were summarized into a total of ten individual themes. Also, to provide the Board and public with a better understanding of the EMC member discussions, the EMC then prioritized each of the ten individual themes. EMC members prioritized the themes based on their own individual professional judgement. This prioritization was intended to provide initial focus to High and Medium themes. Depending on funding opportunities, existing monitoring projects already underway, and other considerations, lower priority themes may also be EMC supported. The prioritization followed a general categorical scale of High, Medium or Low priority, and the themes were prioritized as follows:

- High            WLPZ Riparian Function, Watercourse and Channel Sediment, Road and WLPZ Sediment and Wildfire Hazard.
- Medium        Mass Wasting Sediment, Fish Habitat and Wildlife Habitat: Cumulative Effects
- Low            Wildlife Habitat: Species and Nest Sites, Wildlife Habitat: Seral Stages and Wildlife Habitat: Structure.

**Theme 1: WLPZ Riparian Function**

~~One goal of the FPRs have been developed to is to consider THPs in the context of planning watersheds to ensure watershed integrity and to consider potential adverse cumulative impacts, including impacts on the quality and beneficial uses of water (14 CCR § 897). Also, the intent of the FPRs is to ensure that timber operations do not potentially cause significant adverse site-specific and cumulative adverse impacts to the beneficial uses of water, native aquatic and riparian-associated species, functions of riparian zones or result in an unauthorized take of listed aquatic species [14 CCR § 916 (936, 956)]. (14 CCR § 916). The primary objective of the WLPZ FPRs is to maintain or restore riparian and aquatic functions in classified watercourses. This can occur with both passive and active management approaches that may incorporate options ranging from protection (passive no touch) to active manipulation of stand structure and include timber harvest [14 CCR § 916.9 (933.9, 956.9) (v)]. Key functions of riparian zones include large wood recruitment, watercourse shading, sediment filtration, nutrient input, microclimate control, streambank/hillslope stability, and habitat for terrestrial wildlife species. Specifically, watercourse and lake protection (14 CCR § 916) provide measures to ensure timber operations meet the goals and intent of the FPRs. The WLPZ FPRs can contribute toward meeting goals of Fish and Game Commission and/or Fish and Game Commission and Board (Joint Pacific Salmon and Anadromous Trout Policies). Riparian areas occur dynamically within watersheds adjusting to successional vegetation changes and annual hydrologic events and other disturbances (e.g. wildfires, wind, insect, diseases). Accordingly, the following critical questions should focus on the natural processes and function of WLPZs and have allowances for the dynamic nature of these management areas.~~

**Comment [SLF13]:** Additional text for each Theme regarding policies provided by Bill C. and Amada C. to match Appendix D

**Critical Questions:**

Are the FPRs and associated regulations effective in ...

- (a) maintaining and restoring canopy closure. *(Implementation and Compliance)*
- (b) maintaining and restoring stream water temperature. ~~*(Effectiveness)*~~
- (c) retaining predominant conifers in WLPZs. *(Implementation and Compliance)* and monitor large woody debris input to watercourse channels ~~*(Effectiveness)*~~
- (d) retaining of conifer and deciduous species to maintain or restore riparian shade, maintaining or restoring water temperature, and maintaining or restoring primary productivity.
- (e) maintaining or restoring input of organic matter to maintain or restore primary productivity as measured by macroinvertebrate assemblages.  
*(Note: Monitoring may also be appropriate for the AB1492 Working Groups).*
- (f) maintaining and restoring riparian function of Class II-L watercourses in the Coast District.
- (g) maintaining and restoring riparian function of Class II-L watercourse in the Northern District.
- (h) WLPZ management to reduce or minimize potential fire behavior and rate of spread.
- (i) filtering sediment that reaches WLPZs.

**Comment [SLF14]:** Discussed at EMC Aug 18th meeting to remove reference when it is an effectiveness study.

## Theme 2: Watercourse Channel Sediment

Since the implementation of the modern California FPRs in 1975, a primary goal of these regulations has been to limit the delivery of management-related sediment to watercourse channels in California. The amount of hillslope erosion and sediment delivery that occurs following timber operations depends on numerous factors, including the site conditions present (e.g., slope, soil type, vegetative cover), soil disturbance, level of proper FPR implementation, and intensity and number of large storm events following the completion of logging. The FPRs have been upgraded numerous times in the past 40 years to reduce management-related sediment delivery. One goal of the FPRs is to consider THPs in the context of planning watersheds to ensure watershed integrity and to consider potential adverse cumulative impacts, including impacts on the quality and beneficial uses of water (14 CCR § 897). Also, the intent of the FPRs is to ensure that timber operations do not potentially cause significant adverse site-specific and cumulative adverse impacts to the beneficial uses of water, native aquatic and riparian-associated species, functions of riparian zones or result in an unauthorized take of listed aquatic species (14 CCR § 916). Specifically, current silviculture practices regulations [14 CCR § 913 (933, 953)](14 CCR § 913), harvesting practices and erosion control measures[14 CCR § 914 (934, 954)](14 CCR § 914), watercourse and lake protection[14 CCR § 923 (943, 953)](14 CCR § 916), and logging roads, landings and logging road watercourse crossings rules [14 CCR § 923 (943, 953)] (14 CCR § 923) provide measures to ensure timber operations meet the goals and intent of the FPRs by limiting sediment delivery to stream channels. These FPRs can contribute toward meeting goals of Fish and Game Commission and/or Fish and Game Commission and Board (Joint) policies that address protection of water quality and fish habitat, including the Endangered and Threatened Species, Salmon, Water, and Joint Pacific Salmon and Anadromous Trout Policies. The critical questions for Theme 2 address erosion and sediment monitoring at both the watershed (or sub-watershed) scale and Plan scale.

### Critical Questions:

Are the FPRs and associated regulations effective in minimizing management-related sediment delivery from forest management activities to watercourse channels by ...

- (a) monitoring at watershed and sub-watershed level in managed watersheds.
- (b) monitoring individual Plans to evaluate channel response to forest management prescriptions and additional mitigation measures.

*(Note: Monitoring may also be appropriate for the AB1492 Working Groups).  
(see Section 4.2 for discussion of appropriate scale(s)).*

## Theme 3: Road and WLPZ Sediment

Similar to Theme 2, the Road and WLPZ Sediment theme has been developed to answer critical questions regarding management-related hillslope erosion and sediment delivery to watercourse channels in forested watersheds. Theme 3 focuses on critical questions related to the effectiveness of FPR requirements included in the recently implemented Road Rules 2013 rule package requirements [14 CCR § 923 (943, 953)]. One goal of the FPRs is to consider THPs in the context of planning watersheds to

ensure watershed integrity and to consider potential adverse cumulative impacts, including impacts on the quality and beneficial uses of water (14 CCR § 897). Also, the intent of the FPRs is to ensure that timber operations do not potentially cause significant adverse site-specific and cumulative adverse impacts to the beneficial uses of water, native aquatic and riparian-associated species, functions of riparian zones or result in an unauthorized take of listed aquatic species (14 CCR § 916). Specifically, silviculture practices (14 CCR § 913), harvesting practices and erosion control measures (14 CCR § 914), watercourse and lake protection (14 CCR § 916), and logging roads, landings and logging road watercourse crossings (14 CCR § 923) provide measures to ensure timber operations meet the goals and intent of the FPRs. These FPRs also contribute toward meeting goals of Fish and Game Commission and/or Fish and Game Commission and Board (Joint) policies that address protection of water quality and fish habitat listed above.

#### **Critical Questions:**

Are the FPRs and associated regulations effective in ...

- (a) reducing or minimizing management-related generation of sediment and delivery to watercourse channels.
- (b) reducing generation and sediment delivery to watercourse channels when timber operations implement the Road Rules 2013 measures.
- (c) reducing the effects of large storms on landslides as related to roads, watercourse crossings and landings.
- (d) maintaining or improving fish passage through watercourse crossing structures.

*(see Section 4.2 for discussion of appropriate scale(s))*

#### **Theme 4: Mass Wasting Sediment**

To limit mass wasting sediment from anthropogenic sources, the FPRs require that timber operations be planned and conducted to provide mitigation measures to minimize sediment delivery from unstable geologic features [14 CCR § 923 (943, 953)]. While considerable past monitoring efforts have addressed implementation and short-term effectiveness of FPRs designed to limit sediment entry related to surface erosion processes, less documentation has occurred on a statewide basis for success of the FPRs in preventing accelerated rates of management-related mass wasting features. This is particularly important in the California Coast Ranges and Klamath Mountains, where landslide features can be the primary sediment delivery mechanism. One goal of the FPRs is to consider THPs in the context of planning watersheds to ensure watershed integrity and to consider potential adverse cumulative impacts, including impacts on the quality and beneficial uses of water (14 CCR § 897). Specifically, timber operations shall be planned and conducted to provide mitigation measures to minimize sediment delivery from unstable geologic features (14 CCR § 923). Achieving this goal is consistent with the goals of Fish and Game Commission and/or Fish and Game Commission and Board (Joint) policies, including the Endangered and Threatened Species, Salmon, Water, and Joint Pacific Salmon and Anadromous Trout Policies. The critical questions for this theme address specific mass wasting-related topics to determine if the current rules and regulations are effective in avoiding and reducing management-induced landsliding.

#### **Critical Questions:**

9/15/2015 Draft

Are the FPRs and associated regulations effective in minimizing sediment delivery from .....

- (a) existing chronic unstable geologic features to maintain water quality.
- (b) mass wasting during episodic rare events and/or large storms to maintain water quality  
(See Section 4.2.2)
- (c) mass wasting from high risk geologic features.

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### Theme 5: Fish Habitat

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~~One goal of the FPRs is to consider THPs in the context of planning watersheds to ensure watershed integrity and to consider potential adverse cumulative impacts, including impacts on the quality and beneficial uses of water (14 CCR § 897). Numerous California FPR regulations relate to the protection of fish habitat features in forested watersheds, particularly those found in the Watercourse and Lake Protection Zone rule section [14 CCR § 916 (936, 956)]. Specifically, these FPRs require that timber operations shall be planned and conducted to provide protection for water temperature control, streambed and flow modifications by large woody debris, filtration of organic and inorganic material, upslope stability, bank and channel stabilization, and spawning and rearing habitat for salmonids (14 CCR § 916.4(b)). [14 CCR § 916.4 (936.4, 956.4) (b)]. As stated above for the other themes, these rule requirements contribute toward meeting the goals of Fish and Game Commission and/or Fish and Game Commission and Board (Joint) policies, including: Endangered and Threatened Species Policy, Salmon Policy, Water Policy, and Joint Pacific Salmon and Anadromous Trout Policy. The critical questions included under this theme relate to maintaining and/or restoring the quality and connectivity of foraging, rearing, and spawning habitat.~~

#### Critical Questions:

Are FPRs and associated regulations effective in ...

- (a) describing and mapping the distribution of foraging, rearing and spawning habitat for anadromous salmonids (*Implementation and Compliance*).
- (b) maintaining and restoring the distribution of foraging, rearing and spawning habitat for anadromous salmonids. ~~(Effectiveness)~~.  
(Note: Monitoring may also be appropriate for the AB1492 Work Groups).

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### Theme 6: Wildfire Hazard

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~~A goal of the FPRs is~~The production and maintenance of forests which are healthy and naturally diverse (14 CCR § 897). ~~Numerous studies have shown that creating these types of forests reduces the risk of catastrophic wildfire. Several FPR sections address this wildfire hazard reduction theme, including minimum stocking standards [14 CCR § 912.7 (932.7, 952.7)], (14 CCR § 912.7), special silvicultural methods and stocking requirements (14 CCR § 961), silvicultural objectives and regeneration methods [14 CCR § 913 (933, 953)] (14 CCR § 913), logging slash and hazard reduction reduction [14 CCR § 917 (937, 957)], (14 CCR § 917), exemptions which facilitate removal of dead, dying or diseased trees (14 CCR § 1038), emergency notices which also facilitate removal burned, dead, dying or diseased trees (14~~

CCR § 1052) and fuel hazard reduction (14 CCR § 1051). All of these rule provide sections provide measures to ensure timber operations meet the goals and intent of the FPRs. While less directly than for the previous themes, these FPRs appear to contribute toward meeting the goals of Fish and Game Commission or Joint Fish and Game Commission and Board policies, including: Endangered and Threatened Species Policy, Salmon Policy, Water Policy, Joint Pacific Salmon and Anadromous Trout Policy, and Interim Joint Policy on Pre, During and Post Fire Activities and Wildlife Habitat. To date, little effectiveness monitoring related to this theme has occurred on a statewide basis. The following critical questions address specific topics related to wildfire hazard reduction.

**Critical Questions:**

Are the FPRs and associated regulations effective in ...

- (a) treating post-harvest slash and slash piles to modify fire behavior.
- (b) treating post-harvest slash and retaining wildlife habitats structures including snags and large woody debris.
- (c) management of fuel loads, vegetation patterns and fuel breaks for fire hazard reduction.

### Theme 7: Wildlife Habitat: Species and Nest Sites

The FPRs have a stated One goal of the FPRs is to maintain functional wildlife habitat in sufficient condition for continued use by the existing wildlife community within the planning watershed (14 CCR § 897). More specifically the FPRs require that timber operations shall be planned and conducted to maintain suitable habitat for wildlife species ~~(14 CCR § 919)~~. [14 CCR § 919, 939, 959]] and protection of nest sites [14 CCR § 919.2 (939.2, 959.2)]. -Reaching this goal appears consistent with the goals of Fish and Game Commission or Joint Fish and Game Commission and Board policies, including: Endangered and Threatened Species Policy and the Raptor Policy. Similar to Themes 4 and 6, extensive effectiveness monitoring on a statewide basis has not been conducted on non-federal timberlands for this or the following wildlife habitat themes. The critical questions that follow address wildlife habitat requirements related to species and nest sites.

**Critical Questions:**

Are the FPRs and associated regulations effective at protection of nest sites...

- (a) following general protection measures in 14 CCR § 919.2(b)
- (b) following species specific habitat and disturbance measures in 14 CCR § 919.3

Are the FPRs and associated regulations effective for the Northern spotted owl ~~to owl to~~...

- (a) ensure take avoidance following 14 CCR § 919.9 and 14 CCR § 919.10.
- (b) ensure take avoidance following 14 CCR § 919.9(g).
- (c) maintain adequate amounts of suitable habitat to protect and conserve owls.  
(Note: Monitoring (c) may also be appropriate for the AB1492 Working Groups).

## Theme 8: Wildlife Habitat: Seral Stages

The Wildlife Habitat: Seral Stages theme has been developed to answer critical questions about the effectiveness of the FPRs in maintaining functional wildlife habitat [14 CCR §§ 897; 919 (939,959)], and in particular later seral stage retention. The FPRs require the RPF to provide habitat structure information for late succession forest stands proposed for harvesting that will significantly reduce the amount and distribution of late succession forest stands or their functional wildlife habitat value so that it constitutes a significant adverse impact on the environment as defined in Section 895.1 [14 CCR § 919.16 (939.16, 959.16)]. One goal of the FPRs is to maintain functional wildlife habitat in sufficient condition for continued use by the existing wildlife community within the planning watershed (14 CCR § 897). More specifically the FPRs require that timber operations shall be planned and conducted to maintain suitable habitat for wildlife species (14 CCR § 919). Also, the FPRs require a Cumulative Impacts Assessment (14 CCR § 898) to be completed that includes, but not limited to, the overall biological habitat condition within both the THP and planning area. Additionally, Technical Addendum No. 2 provides specific guidance that the assessment of biological habitat conditions should consider: snags and den trees, down, large woody debris, multistory canopy, road density, hardwood cover, later seral forest characteristics and later seral habitat continuity (14 CCR § 912.9)[14 CCR § 912.9 (932.9, 952.9)]. These FPRs appear to contribute toward reaching the goals of Fish and Game Commission policies, including: Endangered and Threatened Species Policy and Raptor Policy. The following critical questions address wildlife habitat requirements related to seral stages.

### Critical Questions:

Are the FPRs and associated regulations effective at...

- (a) retaining and recruiting late and diverse seral stage habitat components in WLPZs for wildlife.
- (b) maintaining or increasing the amount and distribution of late succession forest stands for wildlife.
- (c) maintaining or recruiting adequate amounts of early- and mid-seral habitats.  
(Note: Monitoring may also be appropriate for the AB1492 Working Groups).

## Theme 9: Wildlife Habitat: Cumulative Impacts

Theme 9 has been included to specifically address cumulative impacts and wildlife habitat. One goal of the FPRs is to maintain functional wildlife habitat in sufficient condition for continued use by the existing wildlife community within the planning watershed (14 CCR § 897). More specifically, the FPRs require that timber operations shall be planned and conducted to maintain suitable habitat for wildlife species (14 CCR § 919). Also, the FPRs require a Cumulative Impacts Assessment (14 CCR § 898) to be completed that includes, but not limited to, the overall biological habitat condition within both the THP and planning area. Technical Addendum No. 2 provides specific guidance that the assessment of biological habitat conditions should consider: snags and den trees, down, large woody debris, multistory canopy, road density, hardwood cover, later seral forest characteristics and later seral habitat continuity (14 CCR § 912.9)[14 CCR § 912.9 (932.9, 952.9)]. With respect to terrestrial species and their habitats, these FPRs appear to contribute toward reaching the goals of Fish and Game Commission

policies, including: Endangered and Threatened Species Policy and Raptor Policy. The critical questions that follow address cumulative biological resources-related questions.

**Critical Questions:**

Are the FPRs and associated regulations effective at...

- (a) characterizing and describing terrestrial wildlife habitat and ecological processes.
- (b) avoiding significant adverse impact to terrestrial wildlife species.

*(Note: Monitoring for (a) may also be appropriate for the AB 1492 Working Groups).*

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**Theme 10: Wildlife Habitat: Structures**

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As stated for the other wildlife habitat themes above, a major ~~One~~ goal of the FPRs is to maintain functional wildlife habitat in sufficient condition for continued use by the existing wildlife community within the planning watershed (14 CCR § 897). ~~More specifically the The~~ FPRs require that timber operations shall be planned and conducted to maintain suitable habitat for wildlife species (14 CCR § 919). [14 CCR § 919 939, 959]], and to ~~Also, the FPRs~~ encourage retention of structural elements or biological legacies through the implementation of Variable Retention (VR) silviculture [14 CCR § 913.4 (933.4, 953.4) (d)]. ~~silviculture (14 CCR § 913.4(d)).~~ With respect to terrestrial species and their habitats, these FPRs appear to contribute toward reaching the goals of Fish and Game Commission policies, including: Endangered and Threatened Species Policy and Raptor Policy. Critical questions have been developed to determine if the FPRs are effective in maintaining a proper level of structure required for wildlife habitat.

**Critical Questions:**

Is the Variable Retention silviculture effective in meeting:

- (a) ecological objectives including co-benefits.
- (b) social objectives.
- (c) geomorphic objectives.

Are the FPRs and associated regulations effective in retaining a mix of stages of snag development that maintain properly functioning levels of wildlife habitat.

Are the FPRs and associated regulations effective in retaining native oaks where required to maintain wildlife habitat (14 CCR § 959.15).

## 2.4 Catalog of Ongoing Cooperative and Individual Monitoring Projects

Numerous ongoing California watershed and wildlife-related monitoring projects and projects planned for implementation in the near future need to be considered by the EMC to avoid duplication and help focus priorities for critical monitoring questions. This catalog displayed in Appendix H builds on and updates the catalog developed by Coe (2009) for the Board Monitoring Study Group titled "Water

Quality Monitoring in the Forested Watersheds of California: Status and Future Directions.” Only major studies being conducted on non-federal timberlands related to topics being considered by the EMC are included. The EMC may also [review and consider](#) studies conducted in mixed ownership landscapes or conducted on federal timberlands. General background/trend monitoring projects without specific objectives/hypotheses are omitted, as are [Conditional Waiver/General Waste Discharge Requirement](#)~~port~~-related monitoring.

The catalog is divided into two sections. This first part lists cooperative studies being undertaken (i.e., those with participation from multiple monitoring entities). In this document, “cooperative” implies that significant resources (i.e., funding, staffing, and/or equipment) are provided by all the partners involved with the project. The second section lists monitoring projects being conducted primarily by individual entities. Projects listed are those that EMC member and staff were aware of as of June 2015. It is recognized that the catalog is incomplete and will change over time, since (1) a comprehensive survey of potential forest monitoring entities was not undertaken, and (2) land ownership changes will occur. The EMC Strategic Plan is considered a “living document” that we anticipate updating annually, including this monitoring catalog. Critical information necessary to update the catalog includes the monitoring entity(s) conducting the project, study title, general monitoring objectives/hypotheses being investigated, principle investigator(s), and brief sources of additional information (e.g., website links, references).

## 2.5 EMC Proposed Monitoring Projects - 2015

See Appendix G for the process that will be used to determine which critical monitoring questions will be selected for initial study by the EMC.

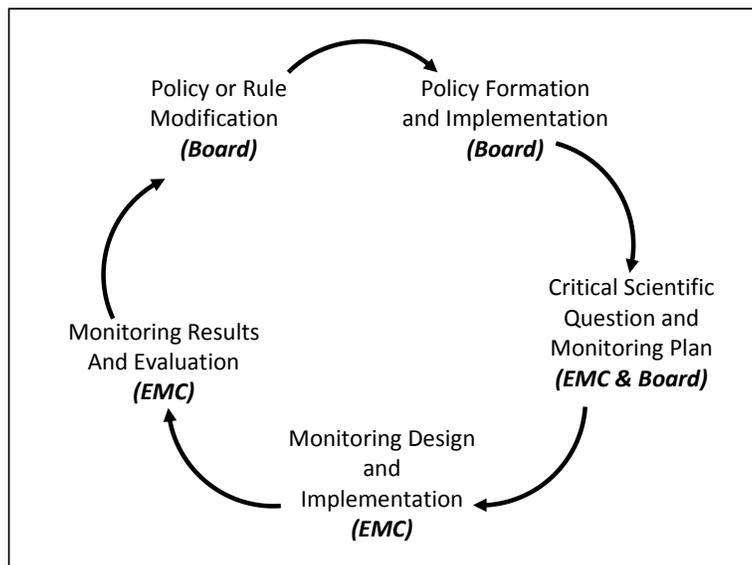
### 3.0 ~~BOARD~~—ADAPTIVE MANAGEMENT FRAMEWORK

The Board has previously discussed the benefits of implementing an Adaptive Management Framework (Board 2014<sup>b</sup>, EMC 2013<sup>4</sup>). The Adaptive Management Framework is an overall strategy designed to consider scientific information provided by the EMC to better inform Board policy (Figure 4).

Specifically, the Board will review results of EMC sponsored scientific studies to determine how effective the FPRs and associated regulations are in meeting **their** goals and objectives of the FPRs, Water Quality Objectives, and Fish and Game Code and regulations. In addition to results of scientific studies, the Board will consider the following four goals as part of the Adaptive Management Framework:

- (1) To provide compliance with the state and federal Endangered Species Acts for species found on state and private forestlands.
- (2) To maintain and restore forest-dependent species on state and private forestlands.
- (3) To meet the requirements of the federal Clean Water Act and Porter-Cologne Water Quality Control Act on state and private forestlands.
- (4) To keep private forestlands economically viable in the State of California.

**Figure 54** The Adaptive Management Framework using EMC sponsored monitoring to better inform Board policy and regulations.



When the Board reviews scientific information from EMC sponsored studies it is important for Board members to understand the overall context and implications of the research. To achieve this objective the Board shall review information provided in the scientific report and additional information provided by the EMC that describe:

- ( 1 ) The scientific or policy relevance of the study.
- ( 2 ) The overall quality of the study design and results.
- ( 3 ) Confidence in results explaining the effectiveness of the FPRs, Water Quality Objectives, or Fish and Game Code or regulations.

In addition, the Board has discussed a scientific report review checklist in more detail. Appendix C contains a detailed description of this checklist. One portion of the checklist refers to scientific questions appropriate for the EMC while the Board portions of the checklist refers to more policy based questions.

## 4.0 APPROPRIATE SCIENTIFIC METHODS AND REPORTS

### 4.1 Study Design within an Adaptive Management Framework

The goal of any effectiveness monitoring study design is to determine if the FPRs and associated regulations related to natural resources **management** are maintaining and/or restoring desired ecological conditions. Monitoring studies in California will need to be able to detect changes in the environment from both individual and cumulative activities that are both spatially and temporally distributed on the landscape, and results will be used in an adaptive management framework to inform forest management policies and practices to confirm that existing regulations are working or craft new regulations when the current ones are not achieving their desired result.-

Because of the complexity and uncertainty surrounding natural resource management, study protocols will be embedded within an adaptive resource management model, summarized as:

- ( 1 ) Defining the objectives and scope of management;
- ( 2 ) Developing operational plans to meet the objectives;
- ( 3 ) Implementing plans;
- ( 4 ) Collecting information about the impacts of the plans;
- ( 5 ) Evaluating the collected information in light of stated objectives; and
- ( 6 ) Adjusting plans in light of new information.

Adaptive management “provides a framework for making good decisions in the face of critical uncertainties, and a formal process for reducing uncertainties so that management performance can be improved over time.” (Williams et al. 2009). Each of the steps of the adaptive resource management cycle, and its relevance for the EMC, is elaborated below.

**Defining the objectives and scope of management issue** – Studies considered by the EMC need to be designed to address: (1) existing or proposed forest management practices and; (2) objectives as defined through legislation (e.g. ESA, FPA), FPRs and associated regulations, and/or by stakeholders. Studies should state the management objectives that they are addressing, and include relevant answerable research questions. These research questions can include ecological, economic, and social considerations, as appropriate.

**Developing operational plans to meet the objectives and implementing plans** – The EMC will evaluate impacts from forest management activities planned and implemented by landowners, managers, and researchers. Research designs may be observational (testing existing management or conditions or analyzing existing datasets) or based on experimental designs. In either case, the anticipated outcomes of forest management and contribution toward achieving defined objectives will be stated upfront, based on a thorough literature review outlining existing knowledge and research gaps.

Monitoring studies must have valid designs, allowing for proper inferences about the phenomenon of interest. There are several broad potential approaches to designing effectiveness monitoring studies. One involves sampling populations, typically by comparing response variables from one set of treatments with another set of treatments (e.g. control-treatment). A second approach is through the use of experiments where treatments are deliberately prescribed and randomly assigned to

experimental units. The advantage of the experimental approach is that the treatments may be of greater forest management intensity than the current FPRs allow and the results of an experiment can provide information that would not be available from a sample.

Studies will ~~base their need a careful~~ sampling design based in previous literature or pilot tests to determine population variability, and to perform statistical power analysis for determining adequate sample sizes. The high natural variability commonly found in natural systems can make finding appropriate comparative groups (e.g. control and treatment) difficult, as the goal is to have these groups as similar to each other as possible to allow for the detection of differences.

**Collecting information about the impacts of the plans** – The EMC will rely on information collected through monitoring, which can take multiple forms, including baseline monitoring (measuring current conditions); trend monitoring (measuring attributes over time); effectiveness monitoring (measuring whether objectives of a project have been met); and validation monitoring (testing whether models are accurate).

**Evaluating the collected information in light of stated objectives** – The EMC will evaluate data for evidence of consistency with identified objectives. Evaluation will frequently take the form of statistical testing, using either frequentist or Bayesian statistical methods. However, data ~~may will~~ take multiple forms and they will be analyzed according to the research questions posed ~~and to the expertise available~~. At times, ~~it may need to rely expertise opinion when statistical data are inconclusive. statistical stringency may be less important than bringing appropriate knowledge to bear on pressing issues.~~

**Adjusting plans in light of new information** – Findings of the EMC should have means for integration into future forest management plans, through changed policy, landowner outreach, or other means. In addition, findings of the EMC should supplement existing and ongoing research conducted by other researchers (see Appendix H).

Because of the multiple, competing objectives for forest lands in the state of California, the EMC will not be able to objectively state the “best” course of action for policy makers or managers. Rather, the EMC will collect as much information as possible to evaluate the impacts of forest policies and management decisions in light of identified management objectives. The adaptive management process facilitates learning “not by trial and error, but by a structured process,” resulting in reduced uncertainty (Allen and Gunderson 2011).

## 4.2 Appropriate Temporal and Geographic Scale

This section provides guidance for selecting appropriate spatial and temporal scales when designing a monitoring study. Spatial scale defines the geographic area of a study such as a road segment, hillslope, or watershed. Temporal scale defines the time period of interest. In forest practice, this may be as short as one storm event or span several decades. Most FPR effectiveness monitoring studies conducted to date have focused on the site scale (e.g. road segment, harvest unit, stream reach) and are directed at prescription effectiveness over one to four year periods (e.g. Brandow and Cafferata 2014).

The selection of appropriate spatial and temporal scales for a monitoring study requires a review of current knowledge, understanding of the issue and professional judgment. Scale selection must

correspond to the specific study objectives, which should define the resource of concern (e.g. water quality), the controlling factors affecting the resource of concern, and the scale of those controlling processes (e.g. hillslope, reach or watershed scale). For time scales, controlling processes should be identified as deterministic or stochastic. Deterministic processes are finite and produce the same result for a given set of input variables whereas stochastic (probabilistic) processes are indeterminate – they produce a range of possible outcomes defined by a probability distribution. The temporal scale of a study should be at least as long as the duration (including lag times) of controlling processes relevant to the study objectives. ~~Temporal and~~ Temporal and spatial scale are not effortlessly separated, and knowledge of variability over time and space is necessary to effectively allocate monitoring efforts (Bunte and MacDonald, 1999).

Typically, monitoring at large spatial or temporal scales increases the number and complexity of controlling processes, making it difficult to discern specific linkages between a controlling process and resource of concern. This can add uncertainty to study findings (MacDonald and Coe 2007). Consequently, monitoring projects should focus on the smallest spatial and temporal scales necessary to achieve the study objectives. Using an adaptive management framework, experience and refinements made from initial study phases can be used to adjust temporal and spatial scales so that study objectives are achieved. To address more complex study objectives, a monitoring plan framework of nested and cross-referenced monitoring studies at a range of scales can be applied (MacDonald 2000). Such a monitoring plan framework can be used to identify scale linkages and increase certainty in cause and effect relationships for complex studies, as well as save on costs and resources over the long-term (Cafferata and Reid 2013).

#### 4.2.1 Range of Variability

Natural variability is an inherent characteristic of healthy ecosystems and plays a beneficial role in maintaining ecosystem functions and processes (Holling and Meffe, 1996). Natural variability is a product of:

- ( 1 ) Ecosystem processes functioning at different spatial scales and differing rates and vary by several orders of magnitude;
- ( 2 ) The spatial attributes of ecosystems (e.g. productivity, species composition, seral stages), which are not constant and are scale dependent;
- ( 3 ) Ecosystems may display multiple stable states, instead of single equilibria, which maintain overall structure and diversity (Hollings and Meffe, 1996); and,
- ( 4 ) Disturbance regimes (including frequency, spatial arrangement and severity of disturbance)(Swanson et al. 1993).

Approaches and concepts used to characterize natural variability include historical range and variability (Keane et al., 2009), natural range of variability (Landres et al., 1999), and the use of properly functioning condition (PFC) matrices (NMFS, 1996; Marshall, 2001) or assessments (Prichard, 1998). All these approaches seek to acknowledge and quantify natural variability, with the goal of providing

guidance and context and direction for managing healthy and resilient ecosystems (Landres et al., 1999; Keane et al., 2009). In this section we use the term ‘natural range of variability’ (NRV) to characterize these concepts, but do not adhere to any particular approach expressed in the literature.

Characterizing NRV requires an understanding of how controlling ecosystem processes vary over time and space, and how these processes affect the ecosystem resource(s) of concern. As such, the concept of NRV can provide a basis for evaluating the feasibility of achieving desired management outcomes, the impacts and tradeoffs that might occur from different management alternatives, and may ultimately improve our capacity to manage dynamic ecosystems (Landres et al., 1999). In application, NRV assessments are often broad in scope and can be limited by available data, scale effects, assessment methodology, and study complexity (Keane et al., 2009). NRV assessments typically include an approach to optimize the use of available data, such as the identification of key indicator variables to quantify management impacts (Marshall, 2001; Hillman and Giorgi, 2002) or the use of a ‘weight-of-evidence’ approach (NCRWQCB, 2006). NRV assessments must be carefully tailored to temporal and spatial scales appropriate for the resource(s) of concern and controlling processes. Key indicator variables or PFCs may not be transferable over time and space. For example, in forest practice, anthropogenic effects caused by land development, fire suppression and climate change can significantly alter the historical NRV and affect study design for long-term (decade-scale) assessments.

### Range of Variability and Effectiveness Monitoring

A primary goal of the EMC is to determine the effectiveness of the FPRs and associated regulations in achieving regulatory standards and possibly identify a need to modify the standards based on scientific, verifiable monitoring results. Many of these regulatory standards are based on a ~~central tendency (average) or~~ narrow range of values that represent an optimum or static resource condition, and are typically applied uniformly across large areas. Thus, the use of regulatory standards runs counter to the notion of natural variability, which emphasizes the dynamic character of ecosystems (Hollis and Meffe, 1996; Reeves et al., in press). Currently, the FPRs and associated regulations address NRV to only a limited extent by providing classifications that represent an average condition for a particular range of spatial and temporal variability. For example:

1. Productivity of the land is reflected in stocking rules such that less productive lands have lower stocking standards,
  2. FPRs and associated regulations protecting watercourse zones vary, in part, based on Forest District, stream flow, presence of aquatic life, and domestic water use, and
  3. Geographic variability in climate and soil conditions is broadly represented by specific rules that apply to distinct forest districts (Coast, Northern and Southern).
- ~~3-4. Allowing for site-specific conditions to determine watercourse zone under Option V (14 CCR § 916.9, 936.9, 956.9(v)).~~

It is recognized that monitoring the effectiveness of different forest practices in achieving a regulatory standard and consideration of whether those practices maintain the resource of concern within its natural range of variability are two fundamentally different questions that may be incompatible within a

monitoring study. For example, historical range of variability is best defined at spatial scales ranging from approximately 40 to 400 square miles (Keane et al., 2009); however, this scale of analysis may not be compatible or feasible within a monitoring study design that assesses management practice effectiveness at the hillslope or planning watershed scale. In some cases, incorporating NRV into a monitoring study may provide additional insight into the effectiveness of management practices in achieving desired resource goals and objectives.

A NRV analysis may also point out whether the regulatory standards being monitored fall within a biologically relevant range. Additionally, monitoring may show a practice fails to meet a regulatory standard, but the effect may be biologically insignificant as the outcome is within the range of NRV. All of these will potentially assist the Committee in reporting rule effectiveness to the Board.

If NRV is to be included in an effectiveness monitoring study then its limitations must be considered, such as the frequent paucity of data to characterize NRV for ecosystem processes at a variety of scales (Keane et al., 2009).

Except as discussed above, due to the scope and scale of NRV in monitoring studies, it is not anticipated that effectiveness monitoring studies will address NRV unless data exist for the process or resource(s) of concern. If quantifying NRV for a given process or ecological condition becomes a high priority need, then a larger effort will likely be required with a specific study design at an appropriate scale to address the problem. Finally, if one is unable to define NRV, then a greater effort will need to be part of every project to describe biologically relevant changes.

#### **4.2.2 Rare or Large Event Monitoring**

Monitoring in most forested areas is typically too short-lived to sample the variability of natural and disturbed hydrologic systems, and has a low probability of documenting environmentally significant events such as large floods, landslides and debris flows. Dispersed monitoring seldom captures the linkages between large natural disturbance events with the transitory effects of forest practice activities (Dunne, 2001). A comprehensive monitoring program should have a component that addresses the intersection of management and stressing events so that the effectiveness of forest practices can be evaluated across the widest range of environmental conditions. These events are not just hydrologic events, but can be from a variety of natural phenomena or may be from a combination of natural events such as those listed below:

- ( 1 ) Rain-on-snow events that cause rapid increase in stormwater runoff, which can overwhelm drainage systems.
- ( 2 ) A single storm or sequences of storms that saturate the soils that promote conditions where landslides can deliver a variety of sizes of sediment and woody debris to streams.
- ( 3 ) Earthquakes that can instantaneously trigger land sliding through ground shaking, or on steepen slopes and/or weaken hillslope materials to where instability is triggered in subsequent rainfall events.
- ( 4 ) Drought that can cause significant low flow that may compromise passage of aquatic organisms through estuaries and drainage structures, or can increase the likelihood of stream dewatering during water drafting operations.

- ( 5 ) Drought that may lead to conditions where dense riparian conditions can result in higher burn intensities within WLPZs and increased spread within watersheds.
- ( 6 ) Large wildfires that affect large components of a bioregion or watershed, affecting significant numbers of aquatic and terrestrial organisms.
- ( 7 ) Episodic forest pest and/or disease-induced tree mortality exacerbated by prolonged periods of drought and/or higher than normal temperature regimes; and
- ( 8 ) Wind storm events causing loss of mature trees to windthrow across very large areas.

An effectiveness monitoring program that relies on annual measurements may not capture the information necessary to determine the effectiveness of these practices relative to larger events. Kirchner et al. (2001) found that catastrophic erosion events are infrequent and of short duration, but can control long-term sediment yield. They also noted that land use activities may alter the probability or magnitude of catastrophic events. Since these events are rare they should be proactively targeted for effectiveness monitoring.

Therefore, a different approach to standard monitoring is needed that will be able to respond to the large or rare events immediately following their occurrence and for some period of time after. This type of monitoring will require that a reserve of funds be set aside to respond immediately to the sites following the occurrence of a rare or large event to determine the effectiveness of the modern practices; an approach referred to as “post-mortem” monitoring (Stewart et al., 2013). Examples of past monitoring after large flood events include Furniss et al.’s (1998) evaluation of watercourse crossing performance in Washington, Oregon and northern California, and Robison et al.’s (1999) review of landslide impacts from large storms in western Oregon. In California, specific research questions can be addressed, such as (1) are unstable area prescriptions (e.g., canopy retention, leave areas within unstable landforms) effective for mitigating against mass wasting during high magnitude, low frequency storm events; or (2) are flows in culverts and their outlets meeting their minimum depth requirement for organism passage during low flows or do flows become hyporheic that results in the culverts and their outlets becoming a barrier. These are examples of using infrequent events to determine the effectiveness of the FPRs and associated regulations related to natural resources. Categories of rare events need to be created so that when they occur in California, a pre-approved effectiveness monitoring or research plan will be enacted to study the performance of the FPRs and associated regulations.

We recommend that effectiveness monitoring or research plans be prepared in advance of these events. A critical component of any monitoring or research design is to identify the rare or large event that triggers “post-event” monitoring. Resources must be allocated prior to event occurrence so that resources can be deployed when a rare or large event occurs. The types of resources required will be determined by the pre-approved monitoring or research plan. The goal is to immediately respond to the opportunities as they arise to maximize the ability to detect the performance of the FPRs and associated regulations during these rare or large events. Timing can be critical, as much of the forestry monitoring or research evidence can quickly fade away or be lost during restoration activities or other management activities. Once a rare or large event has occurred, the following procedure will be implemented:

- ( 1 ) Determine that the rare event has occurred; the authority to make this determination will be the EMC.

- ( 2 ) Notify the appropriate response team and deploy other necessary resources, (i.e., a road failure, a landslide, or a post-fire assessment will require specific sets of skills). These will be preselected and could be available on an on-call contractual basis.
- ( 3 ) After review of the rare or large event, a pre-approved study plan will be reviewed and modified to best match the conditions that resulted from the rare or large event. Minor adjustments to the monitoring or research plan can be made and then executed without delay.

### 4.2.3 Anadromous Fish Monitoring

Chinook and coho salmon and steelhead trout in California have complex life cycles, not only among the different species, but also among the different runs of species. As anadromous fish, meaning those that reside most of their adult life rear in the ocean and return to freshwater to spawn, juveniles and adults of some species may hold in freshwater for extended periods while others spend more of their life history in the ocean. Fisheries managers typically monitor adult escapement and juvenile outmigrants to determine the status and trends of fish populations. State, federal, and local agencies, tribes, and various private entities and landowners have collected and some are currently collecting fish population data in California. Available data varies from long-term and abundant data to data that ~~are~~ typically limited spatially and temporally. Determining impacts to fish populations requires intensive, multi-year monitoring, as trends may not be determined for many years due to high natural variability as well as the complexity of fish life cycles. For example coho salmon typically have a three year life cycle so a minimum of nine years of population data would be required to capture a minimum three year trend for each cohort (NMFS 2014). Also due to the complexity of fish life cycles, the quality and/or abundance of available data, and other confounding factors (such as climate change, ocean conditions, predator-prey dynamics, etc.), it may be difficult to make any correlations between timber harvesting impacts or restoration projects to fisheries populations, particularly at a reach or watershed scale.

Similarly, fishery biologists and other resource professionals monitor stream habitat parameters and indicators such as habitat typing, benthic macroinvertebrate assemblages, spawning substrate, stream temperature, suspended sediment, flow regimes, turbidity, and riparian vegetation to make inferences about project impacts to fish populations. As with monitoring fish populations, this type of monitoring is widely conducted across California by government agencies and private entities using accepted protocols. Habitat data are relatively easy to collect, less costly, and less intensive than fish population monitoring. It is also easy to document any changes, either positive or negative, from timber harvesting or restoration projects on a reach or watershed scale within a short time frame. Sediment filling in pools and changes in stream temperature can rapidly document negative impacts from projects and similarly changes in pool-riffle ratios and macroinvertebrate assemblages can provide quick results to determine project success. Elevated stream turbidity can impact growth and survival of fish by reducing their ability to forage and affecting gill function and condition. Continuous turbidity monitoring provides information on the magnitude and duration of those values that can negatively impact fish. These various types of monitoring allow managers to make inferences on impacts to fish populations from timber operations. For these reasons, the EMC will focus primarily on stream habitat monitoring and, when available, will use fish population data as a basis to evaluate the effectiveness of specific FPRs and associated regulations.

#### 4.2.4 Resource Benefit

So Board members can better evaluate cost of implementing the existing FPRs and associated regulations, the Board has requested the EMC to also evaluate resource benefit of EMC sponsored projects. As an example, the Board has requested that the FPRs Road Rules 2013 be evaluated for effectiveness in providing resource benefit and an economic cost of rule implementation. The EMC reviewed this request by the Board and determined that, if appropriate, relevant, and feasible, EMC sponsored projects should also include an evaluation.

For each individual EMC sponsored project an evaluation may be completed of the resource benefit and economic cost of implementing the specific existing FPRs and associated regulation. This evaluation may be completed by the principal investigator or the EMC. The evaluation could be completed using the following guidance:

- (1) The amount of detail should be tailored to the overall potential economic cost to landowners. (e.g. Higher potential economic cost requires more detail)
- (2) If relevant, the evaluation should attempt to distinguish between land owner types; state vs. private and large versus small landowners.
- (3) If relevant, the evaluation should attempt to distinguish between Plan types: THP, ~~Modified MTHP~~, ~~Non-industrial Timber Management Plan~~—~~NTMP~~, ~~Program Timberland Environmental Impact Report~~~~TEIR~~, ~~Working Forest Management Plan~~~~WFMP~~, Emergency Notice or Exemptions.
- (4) The evaluation should describe geographically by Region or County, if appropriate, where resource benefits and economic cost of the existing FPRs and associated regulations may be different.

In summary, the purpose of evaluating economic costs is to enable analysis of resource benefits within the context of resulting landowner economic burdens.

#### 4.3 Scientific Uncertainty

The Board recognizes there is overall scientific uncertainty concerning how forested ecosystems function within the framework of managed forestlands. There is also uncertainty in how various ecosystem components and processes might relate to one another. Therefore, the EMC and Board recognize that while we will attempt to increase our scientific understanding of ecosystem components or processes in managed state and private forestlands, we may never fully understand these processes. Even with these known uncertainties, the EMC and Board will pursue a better understanding of how effective the FPRs and associated regulations, ~~Water Quality Objectives and Fish and Game codes and regulations are in achieving their goals~~

#### 4.4 EMC Reports

Members of the EMC or principal investigators conducting monitoring will synthesize the results into final reports for the EMC. The reports shall include descriptions of purpose and need, scientific methods, results and technical analysis, evaluation of implications for resources and forest management operations, and disclosure of any possible limitations of results and any scientific uncertainty. The reports shall not provide policy or regulatory recommendations, other than ideas for potential further

refinement of study methods to address any significant limitations and remaining scientific uncertainty. All final reports will be made available to the public on the EMC webpage.

All reports shall discuss the statistical, physical and biological relevance of the monitoring and results. Due to relatively small sample sizes and lack of controls for both dependent and independent variables associated with “specific question” studies, statistically rigorous testing of water-quality, aquatic habitat and wildlife resource questions is often difficult. However, well developed resource monitoring questions can improve scientific monitoring designs so that they limit spurious results and enhance the range of inference. Both statistical and biological relevance of the monitoring and the resulting acceptable level of scientific uncertainty should be clearly stated in each monitoring proposal and final report.

~~Results and findings of individual EMC reports are to be reviewed and discussed by the Board's Research and Science Committee (RSC). However, review by the RSC is for the specific purpose of developing long term strategic planning by the RSC.~~ Development of possible rule language options (see Section 3.0) based on results and findings of EMC reports, if necessary, shall be proposed by or brought before the Board’s Forest Practice Committee for review and comment prior to submittal to the full Board.

**Comment [SLF15]:** Discussions between Co-chair and Acting EO determined to delete this reference at this time.

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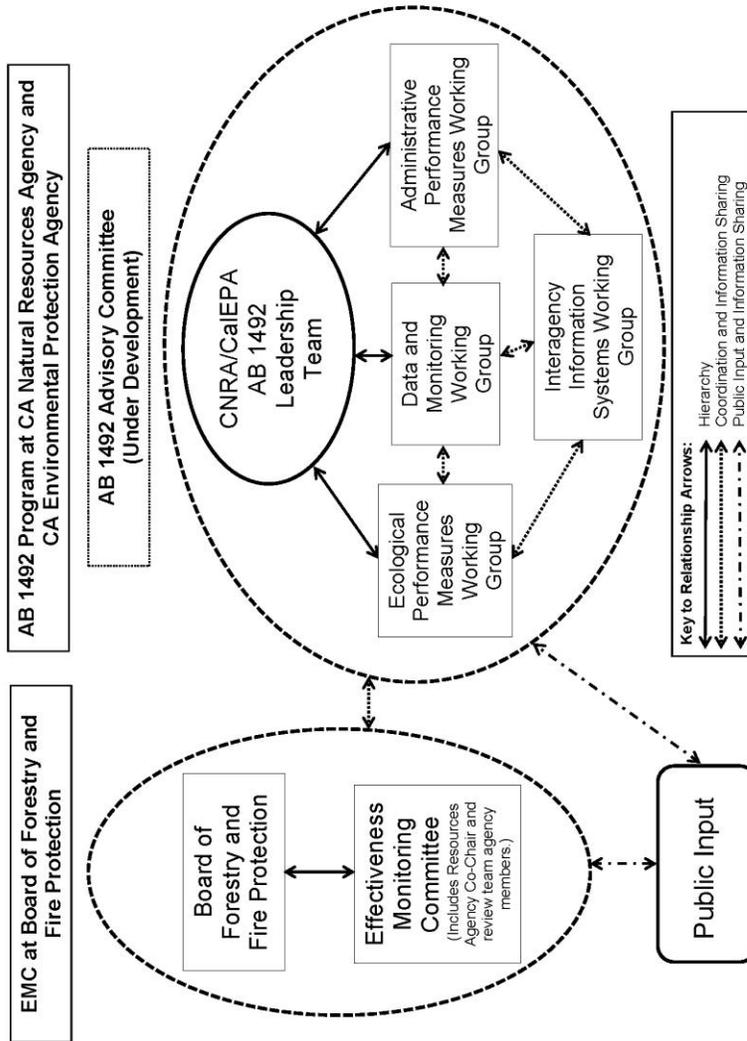
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**APPENDIX A: EMC APPOINTED MEMBERS AND STAFF**

Name	Specialty	Affiliation	Term Expiration
Russ Henley	Co-Chair RPF 2560	California Natural Resources Agency	
Stuart Farber	Co-Chair RPF 2585	Board of Forestry and Fire Protection	
<b>Agency Representatives</b>			
Matthew Bokach	Wildlife	USFS	
Bill Condon	Wildlife RPF 2461	CDFW	
Drew Coe	Hydrology/Forestry RPF 2981	CAL FIRE	
René Leclerc	Geology/Hydrology	CVRWQCB	
Clarence Hoestler	Fisheries	NOAA/NMFS	
Nick Kunz	Water Quality	<a href="#">State Water Resources Control Board</a> WQCB	
Bill Short	Geology/Watersheds	California Geological Survey	
Jim Burke Fred Blatt	Geology/Water Quality	NCRWQCB	
<b>Monitoring Community</b>			
Kevin Boston	Forestry/Engineering RPF 2370	Oregon State University	7/1/2017
Erin Kelly	Forest Policy/Economics RPF 3001	Humboldt State University	7/1/2017
Brian Dietterick	Forest Hydrology	Cal Poly San Luis Obispo	7/1/2016
Tom Engstrom	Wildlife/Botany RPF 1936	Sierra Pacific Industries	7/1/2016
Matt House	Hydrology/Fisheries	Green Diamond Resources <a href="#">Company</a>	7/2/2017
Sal Chinnici	Wildlife	Humboldt Redwood Company	7/2/2017
Ed Smith	Forest Ecology	The Nature Conservancy	7/1/2016
<b>Support Staff</b>			
Matt Diaz	Acting Executive Officer RPF 2773	Board of Forestry and Fire Protection	
Pete Cafferata	Hydrology/Forestry RPF 2184	CAL FIRE	
Stacy Stanish	Biology/Fisheries RPF 3000	CAL FIRE	
Bill Solinsky	Forestry RPF 2297	CAL FIRE	
Dave Fowler	Geology/Water Quality	NCRWQCB	
<a href="#">Amanda Culpepper</a>	<a href="#">Wildlife</a>	<a href="#">CDFW</a>	

**APPENDIX B: ORGANIZATIONAL FRAMEWORK OF AB1492**

**Comment [SLF18]:** Russ H. provided an updated version of the AB1492 framework



**APPENDIX C: ADAPTIVE MANAGEMENT FRAMEWORK CHECKLIST**

Framework Responsibility	Adaptive Management Checklist
EMC	<p><b>Overall Scientific or Policy Relevance</b></p> <ol style="list-style-type: none"> <li>1. Does the study better inform understanding of effectiveness of FPR's?</li> <li>2. Does the study better information understanding of Water Quality Objectives and Fish and Wildlife Code or regulations?</li> <li>3. Does the study contribute to understanding achievement of numeric or performance targets set by agencies or departments?</li> </ol>
EMC	<p><b>Overall quality of the study design and results</b></p> <ol style="list-style-type: none"> <li>1. Was the study design and analysis of results consistent with EMC recommendations?</li> <li>2. Are study results scientifically relevant and significant?</li> </ol>
EMC	<p><b>Confidence in results explaining effectiveness of FPR's</b></p> <ol style="list-style-type: none"> <li>1. What is our previous scientific understanding and how have the results better informed our current scientific understanding?</li> <li>2. What scientific uncertainty remains in our current understanding?</li> <li>3. What is the relationship between this study and other that may be planned, underway or recently completed?</li> <li>4. Feasibility of obtaining additional information to better inform policy and what will the additional information provide?</li> <li>5. What will additional information or studies cost and timelines for completion?</li> </ol>
BOARD	<p><b>Review scientific results and additional EMC information</b></p> <ol style="list-style-type: none"> <li>1. Develop appropriate management policy from information provided by EMC.</li> <li>2. If management policy action is necessary, identify options and determine how feasible each option is from an operational and regulatory perspective.</li> <li>3. If Board action is necessary, identify whether appropriate for Committee development or full Board review.</li> </ol>

## APPENDIX D: PRIORITY RECEIVED FROM BOARDS, DEPARTMENTS & AGENCIES

(Priorities received have been grouped by critical question them).

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
1	1.1	WLPZ	Canopy closure	916.5 [936.5, 956.5] (e) G, I 916.9 [936.9, 956.9] (a)(7) 916.9 [936.9, 956.9] (f)(2)(A),(B),(C) 916.9 [936.9, 956.9] (f)(3)(A),(B),(C),(D),(F) 916.9 [936.9, 956.9] (f)(4)(A),(B),(C) 916.9 [936.9, 956.9] (g)(2)(B)(1) 916.9 [936.9, 956.9] (g)(2)(B)(2)(iii)	WLPZ effectiveness in maintaining canopy closure and water temperature?	MSG (2009)	FGC § 1602(a) & 1603(a)  <a href="#">FGCom T&amp;E Species and Salmon Policy</a>  <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Water Quality Objectives for Temperature &amp; Dissolved Oxygen</a>
	1.2	WLPZ	Canopy closure	916.5 [936.5, 956.5] (e) G, I 916.9 [936.9, 956.9] (a)(7) 916.9 [936.9, 956.9] (f)(2)(A),(B),(C) 916.9 [936.9, 956.9] (f)(3)(A),(B),(C),(D),(F) 916.9 [936.9, 956.9] (f)(4)(A),(B),(C) 916.9 [936.9, 956.9] (g)(2)(B)(1) 916.9 [936.9, 956.9] (g)(2)(B)(2)(iii)	Evaluate adequacy of FPR canopy retention standard in preserving pre-harvest effective shade; in particular, whether the minimum canopy retention provided on Class I and II-L watercourses preserves or restores site specific potential effective shade.	Water Boards (2015)	FGC § 1602(a) & 1603(a)  <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Water Quality Objectives for Temperature</a>

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

**Comment [nkunz20]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
							& Dissolved Oxygen) North Coast Temperature Policy (Basin specific amendment)
	1.3	WLPZ	Canopy closure	916.5 [936.5, 956.5] (e) G, I 916.9 [936.9, 956.9] (a)(7) 916.9 [936.9, 956.9] (f)(2)(A),(B),(C) 916.9 [936.9, 956.9] (f)(3)(A),(B),(C),(D),(F) 916.9 [936.9, 956.9] (f)(4)(A),(B),(C) 916.9 [936.9, 956.9] (g)(2)(B)(1) 916.9 [936.9, 956.9] (g)(2)(B)(2)(iii)	FORPRIEM (revised) - Implementation and compliance of WLPZ canopy requirements	CALFIRE (2014)	FGC § 1602(a) & 1603(a) FGCom T&E Species Policy FGCom Salmon Policy FGC/BOARD Policy Salmon  RWBBP: Water Quality Objectives for Temperature & Dissolved Oxygen)
	1.4	WLPZ	Canopy closure	916.5 [936.5, 956.5] (e) G, I 916.9 [936.9, 956.9] (a)(7) 916.9 [936.9, 956.9] (f)(2)(A),(B),(C) 916.9 [936.9, 956.9] (f)(3)(A),(B),(C),(D),(F) 916.9 [936.9, 956.9] (f)(4)(A),(B),(C)	Monitoring effectiveness of WLPZ canopy closure in Demonstration State Forests harvest plans.	BOF-MC (2014)	FGC § 1602(a) & 1603(a) FGCom T&E Species Policy FGCom Salmon Policy FGC/BOARD Policy Salmon

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

**Comment [nkunz21]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [nkunz22]:** Hyperlinked: [http://www.waterboards.ca.gov/northcoast/water\\_issues/programs/basin\\_plan/temperature\\_amendment.shtml](http://www.waterboards.ca.gov/northcoast/water_issues/programs/basin_plan/temperature_amendment.shtml)

**Comment [nkunz23]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
				916.9 [936.9, 956.9] (g)(2)(B)(1) 916.9 [936.9, 956.9] (g)(2)(B)(2)(iii)			<a href="#">RWBBP: Water Quality Objectives for Temperature &amp; Dissolved Oxygen</a>
	1.5	WLPZ	Riparian function	916.4 [936.3, 956.4] (a),(b)	The effectiveness of implementing Section 916.4(a) and Section 916.4(b) in protecting, maintaining and/or restoring the functions set forth in Section 916.4(b).	CDFW (2015)	FGC § 1602(a) & 1603(a) <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Beneficial Uses</a>  <a href="#">Policy in Support of Restoration in the North Coast Region</a>
	1.6	WLPZ	Riparian function	916.9 [936.9, 956.9] (c)(4) 916.9 [936.9, 956.9] (g)	Effectiveness of Class II-L rules to protect, maintain and restore riparian function	BOF-FPC (2014)	FGC § 1602(a) & 1603(a) <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD</a>

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

**Comment [nkunz24]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [nkunz25]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
							<a href="#">Policy Salmon</a> <a href="#">RWBBP: Water Quality Standards</a>
	1.7	WLPZ	Riparian Function	916.9 [936.9, 956.9] (c)(4)	Evaluate how effectively the ASP Class II-L definition breaks out watercourses with summertime flow, i.e. how Class II S watercourses have water during summer months so that compliance with the Basin Plan temperature objective may be an issue.	MSG (2009) Water Boards (2015)	<a href="#">FGCom T&amp;E</a> <a href="#">Species Policy</a> <a href="#">FGCom Salmon</a> <a href="#">Policy</a> <a href="#">FGC/BOARD</a> <a href="#">Policy Salmon</a> <a href="#">RWBBP: Water Quality Standards</a>
	1.8	WLPZ	Riparian Function	916.9 [936.9, 956.9] (c)(1)(2)(3)	WLPZ tree blowdown and potential impacts or benefits to water quality.	MSG (2009) EMC (2015)	<a href="#">FGC § 1602(a) &amp; 1603(a)</a> <a href="#">FGCom T&amp;E</a> <a href="#">Species Policy</a> <a href="#">FGCom Salmon</a> <a href="#">Policy</a> <a href="#">FGC/BOARD</a> <a href="#">Policy Salmon</a> <a href="#">RWBBP: Water Quality Standards</a>
	1.9	WLPZ	Riparian Function	916.9 [936.9, 956.9] (g)(2)(B)	Effectiveness of FPRs in retaining predominant conifers in all WLPZs as	CDFW (2015)	<a href="#">FGC § 1602(a) &amp; 1603(a)</a>

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

**Comment [nkunz26]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [SLF27]:** mid summer stream flow is not a criteria for determining a Class II-L watercourse anymore

**Comment [nkunz28]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [nkunz29]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
					recommended in Section 916.9(g)(2)(B), such as focusing practices on thinning from below and maintaining large woody debris input to streams.		<a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a> <a href="#">Regional Water Board Basin Plan (Water Quality Standards)</a>
	1.10	WLPZ	Riparian Function	916.5 [936.5, 956.5] (e) G, I 916.9 [936.9, 956.9] (a)(7) 916.9 [936.9, 956.9] (f)(2)(A),(B),(C) 916.9 [936.9, 956.9] (f)(3)(A),(B),(C),(D),(F) 916.9 [936.9, 956.9] (f)(4)(A),(B),(C) 916.9 [936.9, 956.9] (g)(2)(B)(1) 916.9 [936.9, 956.9] (g)(2)(B)(2)(iii)	Effectiveness of FPRs in maintaining both conifer and deciduous species in WLPZs to maintain riparian shade and primary productivity.	EMC (2015)	FGC § 1602(a) & 1603(a) <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Water Quality Standards</a>
	1.11	WLPZ	Riparian function	916.9 [936.9, 956.9] (c)(1)(2) 916.9 [936.9, 956.9] (f)(2)(A),(B) 916.9 [936.9, 956.9] (f)(3)(A),(B),(C) 916.9 [936.9, 956.9] (f)(4)(A),(B)	Effectiveness of FPRs in maintaining input of organic matter into watercourses to maintain primary productivity measured by distribution and abundance of macroinvertebrate assemblages.	EMC (2015)	<a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

**Comment [nkunz30]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [nkunz31]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
				916.9 [936.9, 956.9] (g)(2(A),(B))			<a href="#">RWBBP: Water Quality Standards: ]</a>
	1.12	WLPZ	Slash Treatment	916.5 [936.5, 956.5] 916.9 [936.9, 956.9] (v)(6)	Effectiveness of WLPZ management to reduce potential fire behavior and spread under a variety of fuel matrix(s).	Water Boards and EMC (2015)	<a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a> <a href="#">FGCom/BOARD Fire &amp; Wildlife Habitat Policy</a>  <a href="#">RWBBP: Water Quality Standards]</a>
	1.13	WLPZ	Stand Structure	916.9 [936.9, 956.9] (s),(t),(u) 1038, 1052.4	Effectiveness of flag and avoid rules on fire severity in the WLPZ	Water Boards (2015)	<a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a> <a href="#">FGCom/BOARD Fire &amp; Wildlife Habitat Policy</a> <a href="#">RWBBP: Water Quality Standards]</a>

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

**Comment [WU32]:** Canopy requirements; little mention of WLPZ input of organic matter, except for 916.9(c)(2).

**Comment [nkunz33]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [nkunz34]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [nkunz35]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
2	2.1	Watercourse Channel	Sediment	914 [934, 954] 915 [935, 955] 923 [943, 963] TRA#2 Appendix A(2)(a),(3)	Is excess sediment decreasing, on a regional basis, watershed or subwatershed basis?	Water Boards (2015)	FGC § 5650(a)(6) FGC § 1602(a) & 1603(a)  <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a> <a href="#">RWBBP: Water Quality Objectives for sediment and turbidity</a>
	2.2	Watercourse Channel	Sediment	914 [934, 954], 915 [935, 955] 923 [943, 963] TRA#2 Appendix A(2)(a),(3)	Is there a trend of recovery from excess sediment impairment occurring in managed watersheds?	Water Boards (2015)	FGC § 5650(a)(6) FGC § 1602(a) & 1603(a)  <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Water Quality Objectives for sediment and turbidity</a>
	2.3	Watercourse	Sediment	913 [933, 953] 914 [934, 954],	Effect of hillslope prescriptions on fluvial geomorphology, such as scour, down-	CGS (2015)	<a href="#">FGCom T&amp;E Species Policy</a>

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

**Comment [WU36]:** Went very general here and the ones below.

**Comment [nkunz37]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [nkunz38]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
		Channel		915 [935, 955] 923 [943, 963] TRA#2 Appendix A(2)(a),(3)	cutting, and channel complexity.		<a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Water Quality Objectives for sediment and turbidity</a>
<b>3</b>	3.1	Roads	Sediment	916.1 [936.1, 956.1] 916.11 [936.11, 956.11] 916.9 [936.9, 956.9] (v)(3)(A)(7), (v)(5)(l)	Effectiveness of additional plan mitigation measures and in-lieu practices within WLPZs	MSG (2009)	<a href="#">FGC § 5650(a)(6)</a> <a href="#">FGC § 1602(a) &amp; 1603(a)</a> <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Water Quality Standards</a>
	3.2	Roads	Sediment	923.1 [943.1, 963.1] (e) 923.7 [943.7, 963.7] (k) 923.9 [943.9, 963.9] (u)	Erosion Control Plan effectiveness	MSG (2009)	<a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

**Comment [nkunz39]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [nkunz40]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
							<a href="#">RWBBP: Water Quality Standards)</a>  <a href="#">North Coast Erosion Control Plan</a>  <a href="#">CWC § 13241(d)</a>
	3.3	Roads	Sediment	FPA § 4551.9(b)	Comparison of the economic costs of implementing the Road Rules 2013 versus ecological benefit.	BOF-FPC (2014)	
	3.4	Roads and WLPZ	Sediment	913 [933, 953] 914 [934, 954] 915 [935, 955] 923 [943, 963]	What extent are management practices under FPRs generating excess sediment (i.e., canopy removal, log skidding, and road construction and use) and delivering to watercourse channels.	Water Boards (2015) MSG (2009)	<a href="#">FGC § 5650(a)(6)</a> <a href="#">FGC § 1602(a) &amp; 1603(a)</a> <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Water Quality Objectives for sediment and turbidity)</a>
	3.5	Roads and WLPZ	Sediment	913 [933, 953] 914 [934, 954], 915 [935, 955] 923 [943, 963]	To what extent can excess sediment generated from management practices be further minimized by improving those practices and to what extent is sediment	Water Boards (2015)	<a href="#">FGC § 5650(a)(6)</a> <a href="#">FGC § 1602(a) &amp; 1603(a)</a> <a href="#">FGCom T&amp;E</a>

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

**Comment [nkunz41]:** Hyperlink: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [nkunz42]:** Hyperlink: [http://www.waterboards.ca.gov/northcoast/water\\_issues/programs/tmdls/scott\\_river/092005/sr/40appendixd.ecpguidance.pdf](http://www.waterboards.ca.gov/northcoast/water_issues/programs/tmdls/scott_river/092005/sr/40appendixd.ecpguidance.pdf)

**Comment [nkunz43]:** hyperlink: <http://www.leginfo.ca.gov/cgi-bin/displaycode?section=wat&group=13001-14000&file=13240-13248>

**Comment [WU44]:** General rules related to sediment generation potential.

**Comment [nkunz45]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [WU46]:** General rules related to sediment generation potential.

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
					production unavoidable (for example, does canopy removal always result in <i>some</i> increase in sediment production due to changes in peak flows)?		<a href="#">Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Water Quality Objectives for sediment and turbidity</a>  <a href="#">SWRCB: Flow Objectives</a>
	3.6	Roads and WLPZ	Sediment	916.4 [936.4, 956.4] (b)(6)	Monitoring effectiveness of WLPZ surface erosion filtration on private forestlands and Demonstration State Forests harvest plans.	BOF-MC (2014)	<a href="#">FGC § 5650(a)(6)</a> <a href="#">FGC § 1602(a) &amp; 1603(a)</a> <a href="#">FGCom T&amp;E</a> <a href="#">Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Water Quality Standards</a>
	3.7	Roads and WLPZ	Sediment	923 [943, 963] TRA#5	How effective are the Road Rules 2013 in preventing or minimizing sediment discharge?	Water Boards (2015)	<a href="#">FGC § 5650(a)(6)</a> <a href="#">FGC § 1602(a) &amp; 1603(a)</a>

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

**Comment [nkunz47]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [nkunz48]:** Hyperlink: [http://www.waterboards.ca.gov/waterrights/water\\_issues/programs/bay\\_delta/flow\\_objectives/index.shtml](http://www.waterboards.ca.gov/waterrights/water_issues/programs/bay_delta/flow_objectives/index.shtml)

**Comment [nkunz49]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
							<a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Water Quality Standards</a>
	3.8	Roads and WLPZ	Sediment	923.9 [943.9, 963.9] (c), (g),(n)	Effect of crossing structure design on fluvial geomorphology such as sediment routing and fish passage of all life stages..	CGS (2015)	<a href="#">FGC § 5650(a)(6)</a> <a href="#">FGC § 1602(a) &amp; 1603(a)</a> <a href="#">FGC § 5901</a> <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP:Water Quality Standards</a>
	3.9	Roads and WLPZ	Sediment	923.2 [943.2, 963.2] (5), 923.4 [943.4, 963.4] (a), 923.5 [943.5, 963.5] (a), 923.7 [943.7, 963.7] (a), 923.9 [943.9, 963.9] (m)(2)	Effectiveness of Road Rules 2014 to reduce hydrologic disconnection and sediment transport to a watercourse channel	BOF-FPC (2014) EMC (2015)	<a href="#">FGC § 5650(a)(6)</a> <a href="#">FGC § 1602(a) &amp; 1603(a)</a> <a href="#">FGCom T&amp;E Species Policy</a>

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

**Comment [nkunz50]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [nkunz51]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
				TRA#5			<a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Water Quality Objectives for sediment and turbidity</a>
	3.10	Roads and WLPZ	Sediment	923 [943, 963]	Effect of large storms on landslides (debris flows) and as related to roads, landings and crossings.	CGS (2015)	<a href="#">FGC § 5650(a)(6)</a> <a href="#">FGC § 1602(a) &amp; 1603(a)</a> <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Water Quality Objectives for sediment and turbidity</a>
	3.11	Roads and WPZ	Sediment	923.9 [943.9, 963.9] (c) 923 [943, 963]	FORPRIEM - watercourse crossings and fish passage of all life stages.	CALFIRE (2014)	<a href="#">FGC § 1602(a) &amp; 1603(a)</a> <a href="#">FGC § 5901</a> <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon</a>

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

**Comment [nkunz52]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [WU53]:** General rules related to road, landing, crossing sediment generation potential.

**Comment [nkunz54]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
							<a href="#">Policy FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Water Quality Objectives for sediment and turbidity</a>
	3.12	Roads and WLPZ	Sediment	923.9 [943.9, 963.9] (f) 923.9 [943.9, 963.9] (o) TRA#5	Effectiveness of crossing construction practices with regard to long-term sustainability and resilience to episodic events.	CGS (2015)	<a href="#">FGC § 1602(a) &amp; 1603(a)</a> <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Water Quality Standards</a>
	3.13	Roads and WLPZ	Sediment	923.1 [943.1, 963.1] 923.2 [943.2, 963.2] 923.4 [943.4, 963.4] 923.7 [943.7, 963.7]	Effectiveness of road and landing construction practices with regard to long-term sustainability and resilience to episodic events.	CGS (2015)	<a href="#">FGC § 1602(a) &amp; 1603(a)</a> <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

**Comment [nkunz55]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [nkunz56]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [WU57]:** No specific road rules to address this issue.

**Comment [SB58]:** I think that the rules listed address the question.

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
							<a href="#">RWBBP: Water Quality Standards</a>
4	4.1	Mass Wasting	Sediment	923.1 [943.1, 963.1] (a)(5),(d) 923.2 [943.2, 963.2] (a)(2) 923.4 [943.4, 963.4] (d) 923.5 [943.5, 963.5] (d) 923.9 [943.9, 963.9] (m)(3) 1038 (b)(4) 1038 (f)(6) 1051 (a)(7) 1090.5 (s),(y) TRA#5	Effectiveness of plan mitigation measures to minimize sediment delivery from existing chronic unstable geologic features	MSG (2009) EMC (2015)	<a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Water Quality Objectives for sediment and turbidity</a>
	4.2	Mass Wasting	Sediment	923.1 [943.1, 963.1] (a)(5), (d) 923.2 [943.2, 963.2] (a)(2) 923.4 [943.4, 963.4] (d) 923.5 [943.5, 963.5] (d) 923.9 [943.9, 963.9] (m)(3) 1038 (b)(4) 1038 (f)(6) 1051 (a)(7) 1090.5 (s), (y) TRA#5	Effectiveness of plan mitigation measures to minimize sediment delivery from potential episodic geologic events	EMC (2015)	<a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Water Quality Objectives for sediment and turbidity</a>
	4.3	Mass Wasting	Sediment	923.1 [943.1, 963.1] (a)(5),(d) 923.2 [943.2, 963.2] (a)(2)	Review of landslide dimension and causal relationships.	MSG (2009)	<a href="#">FGCom T&amp;E Species Policy</a>

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

**Comment [nkunz59]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [nkunz60]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [nkunz61]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [SB62]:** I think the rules below address the question.

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
				923.4 [943.4, 963.4] (d) 923.5 [943.5, 963.5] (d) 923.9 [943.9, 963.9] (m)(3) 1038 (b)(4) 1038 (f)(6) 1051 (a)(7) 1090.5 (s),(y) TRA#5			<a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>
	4.4	Mass Wasting	Sediment	923.1 [943.1, 963.1] (a)(5),(d) 923.2 [943.2, 963.2] (a)(2) 923.4 [943.4, 963.4] (d) 923.5 [943.5, 963.5] (d) 923.9 [943.9, 963.9] (m)(3) 1038 (b)(4) 1038 (f)(6) 1051 (a)(7) 1090.5 (s),(y) TRA#5	Effect of large storms on landslides as related to hillslope management prescriptions.	CGS (2015)	<a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>
5	5.1	Fish Habitat	Habitat	916.4 [936.4, 956.4] (a)(2)	The FPRs effectiveness in describing and mapping distribution of foraging, rearing and spawning habitat for anadromous salmonids.	MSG (2009) EMC (2015)	<a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Beneficial Uses</a>

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

**Comment [nkunz63]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
	5.2	Fish Habitat	Habitat	916.4 [936.4, 956.4] (a)(2)	The FPRs effectiveness in maintaining a distribution of foraging, rearing and spawning habitat for anadromous salmonids.	EMC (2015)	FGC § 2081(b) FGC § 1602(a) & 1603(a) <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Beneficial Uses</a>
	5.3	Fish Habitat	Habitat	916.4 [936.4, 956.4] (a)(2)	The FPRs effectiveness in maintaining a distribution of foraging, rearing and spawning habitat non-anadromous salmonids	EMC (2015)	<a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom Salmon Policy</a> <a href="#">FGC/BOARD Policy Salmon</a>  <a href="#">RWBBP: Beneficial Uses</a>
<b>6</b>	6.1	<a href="#">Wildfire Hazard</a> <a href="#">Silviculture</a>	Slash Treatment	917 [937], 957 1038(c) 1038(i),(j) 1051.3,4 1052.4	Effectiveness of fuel treatment to reduce fire hazard reduction.	BOF-FPC (2014)	<a href="#">FGCom/BOARD Fire &amp; Wildlife Habitat Policy</a>

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

**Comment [nkunz64]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [nkunz65]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
	6.2	<a href="#">Wildfire Hazard Silviculture</a>	Slash Treatment	917.5 [937.5, 957.5]	Effectiveness of residual slash pile treatment in comparison to fire hazard reduction or fire behavior	BOF-FPC (2014)	<a href="#">FGCom/BOARD Fire &amp; Wildlife Habitat Policy</a>
	6.3	<a href="#">Wildfire Hazard Silviculture</a>	Slash Treatment	915.2 [935.2, 955.2] (a) 919.1 [939.1, 959.1] 1052.4(e)	Effectiveness of treating post-harvest slash and retaining wildlife habitats structures including snags and large woody debris.	EMC (2015)	<a href="#">FGCom/BOARD Fire &amp; Wildlife Habitat Policy</a>
	6.4	<a href="#">Wildfire Hazard Silviculture</a>	Slash Treatment	917.5 [937.5, 957.5]	Effectiveness of treating post-harvest slash piles to reduce fire behavior to better understand ignition and spread using a variety of pile sizes.	EMC (2015)	<a href="#">FGCom/BOARD Fire &amp; Wildlife Habitat Policy</a>
	6.5	<a href="#">Wildfire Hazard Silviculture</a>	Slash Treatment	913.4 [933.4, 953.4] (c)	Effectiveness of vegetation management and construction and maintenance of fuel breaks for fire hazard reduction.	EMC (2015)	<a href="#">FGCom/BOARD Fire &amp; Wildlife Habitat Policy</a>
	6.6	<a href="#">Wildfire Hazard Silviculture</a>	Slash Treatment	917.5 [937.5, 957.5]	Effectiveness of treating post-harvest slash piles to reduce fire behavior under a variety of slash pile locations within a stand and impacts to adjacent untreated stands.	EMC (2015)	<a href="#">FGCom/BOARD Fire &amp; Wildlife Habitat Policy</a>
	6.7	<a href="#">Wildfire Hazard Silviculture</a>	Slash Treatment	915.2 [935.2, 955.2] (b) 916.9 [936.9, 956.9] (q) 917.3, 937.3, 957.3	Effectiveness of treating post-harvest slash using control burning treatment versus chipping on soil dynamics and vegetation response.	EMC (2015)	<a href="#">FGCom/BOARD Fire &amp; Wildlife Habitat Policy</a>
	6.8	<a href="#">Wildfire Hazard Silviculture</a>	Invasive Plants	No applicable FPRs	The effectiveness of FPRs in reducing and/or treating invasive plants for both fire threat reduction and sensitive plant habitat protection and restoration.	CDFW (2015)	<a href="#">FGCom/BOARD Fire &amp; Wildlife Habitat Policy</a>  <a href="#">Regional Board Waiver Requirements for</a>

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
							<a href="#">Pesticide</a>
	6.9	<a href="#">Wildfire</a> <a href="#">Hazard</a> <a href="#">Silviculture</a>	Stand Structure	912.7 [932.7, 952.7] 921.4, 961.4, 927.10 1071	The effectiveness of stocking requirements with respect to long-term forest management for fire suppression.	Water Boards (2015), CDFW (2015)	<a href="#">FGCom/BOARD Fire &amp; Wildlife Habitat Policy</a>  <a href="#">RWBBP: Water Quality Standards)</a>
	6.10	<a href="#">Wildfire</a> <a href="#">Hazard</a> <a href="#">Silviculture</a>	Sediment and Water Temperature	915.3 [935.3, 955.3] 915.4 [935.4, 955.4]	The effectiveness of the FPRs in protecting water quality with respect to silvicultural herbicide application post-treatment ground cover.	Water Boards (2015)	<a href="#">FGCom Water Policy</a>  <a href="#">Regional Board Waiver Requirements for Pesticide</a>
<b>7</b>	7.1	Wildlife Habitat	Nest Sites	919.2 [939.2, 959.2]	The effectiveness of Section 919.2, General Protection of Nest Sites, "...for the protection of Sensitive species..."	CDFW (2015)	FGC § 2081(b) FGC § 3511 FGC § 3513 FGC § 3503 FGC § 3503.5 <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom. Raptor Policy</a>
	7.2	Wildlife Habitat	Nest Sites	919.3 [939.3, 959.3]	The effectiveness of Section 919.3, Specific requirements for Protection of Nest Sites.	CDFW (2015)	FGC § 2081(b) FGC § 3511 FGC § 3513

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

**Comment [nkunz66]:**  
  
WQ Permit Requirements for Pes

**Comment [nkunz67]:** Hyperlinked: [http://www.waterboards.ca.gov/plans\\_policies/](http://www.waterboards.ca.gov/plans_policies/)

**Comment [nkunz68]:**  
  
WQ Permit Requirements for Pes

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
							FGC § 3503 FGC § 3503.5 <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom. Raptor Policy</a>
	7.3	Wildlife Habitat	Species	919.9 [939.9] (g)	The effectiveness of Section 919.9(g) in avoiding take of Northern Spotted Owls	CDFW (2015)	FGC § 2081(b) <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom. Raptor Policy</a>
	7.4	Wildlife Habitat	Species	919.9 [939.9] 919.10 [939.10]	Effectiveness of Northern spotted owl rules and regulations in protecting and conserving the species	BOF-FPC (2014)	FGC § 2081(b) <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom. Raptor Policy</a>
	7.5	Wildlife Habitat	Species	919.16 [939.16, 959.16]	Effectiveness of FPRs and guidance to ensure take avoidance of Townsend's big-eared bat.	CALFIRE (2015)	FGC § 2081(b) <a href="#">FGCom T&amp;E Species Policy</a>
	7.6	Wildlife Habitat	Species	898.2(d)	Effectiveness of FPRs and guidance to ensure take avoidance of Sierra Nevada yellow-legged frog.	CALFIRE (2015)	FGC § 2081(b) <a href="#">FGCom T&amp;E Species Policy</a>
<b>8</b>	8.1	Wildlife Habitat	Seral habitats	897(b)(1)(C)	The effectiveness of the Rules per Section 897, in retaining and recruiting late and diverse seral stage habitat components for wildlife in WLPZs and as appropriate to provide for functional connectivity; including individuals and patches of trees.	CDFW (2015)	FGC § 2820 et seq. <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom. Raptor Policy</a>

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
	8.2	Wildlife Habitat	Seral habitats	919.16 [939.16, 959.16]	The effectiveness of Section 919.16, Late Succession Forest Stands, with respect to maintenance of the amount and distribution of late succession forest stands or their functional habitat values on forestland ownerships.	CDFW (2015)	FGC § 2820 et seq. <a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom. Raptor Policy</a>
<b>9</b>	9.1	Wildlife Habitat	Cumulative Effects	912,9 [932.9, 952.9] TRA#2 TRA#2 Appendix C	The effectiveness of Section 912.9 and Technical Rule Addendum No. 2 in characterizing and avoiding significant adverse impacts to terrestrial wildlife species, their habitats and ecological processes.	CDFW (2015)	<a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom. Raptor Policy</a>
	9.2	Wildlife Habitat	Cumulative Effects	913.1 [933.1, 953.1] (a) (3) 912,9 [932.9, 952.9] TRA#2 TRA#2 Appendix C(4)(g)	The effectiveness of Section 913.1(a)(3) in avoiding forest habitat fragmentation.	CDFW (2015)	<a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom. Raptor Policy</a>
<b>10</b>	10.1	Wildlife Habitat	Structures	913.4 [933.4, 953.4] (d)	The effectiveness of Section 913.4(d), Variable Retention, in the retention of structural elements or biological legacies” ...to achieve various ecological, social and geomorphic objectives.”and other co-benefits.	CDFW (2015)	<a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom. Raptor Policy</a>
	10.2	Wildlife Habitat	Structures	919.1 [939.1, 959.1]	The effectiveness of Section 919.1, Snag Retention, “...to provide wildlife habitat...” and to retain a mix of (decay) stages of snag development and restoring snag densities towards “properly functioning” levels.	CDFW (2015)	<a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom. Raptor Policy</a>
	10.3	Wildlife Habitat	Structures	919 [939, 959] 912,9 [932.9, 952.9]	The effectiveness of various Rules in retaining and recruiting late and diverse	CDFW (2015)	<a href="#">FGCom T&amp;E Species Policy</a>

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

Theme	Sub-theme	Critical Question Theme	Natural Resource	Rule or Regulation	Priority or Monitoring Question	Submitted by and Year	Associated Regulation, or Policy
				TRA#2 TRA#2 Appendix C(4)(f)	seral stage habitat components with characteristics such as basal hollows, broken tops, multiple tops, furrowed bark, large diameter, reiterative limbs, large platform limbs and others.		<a href="#">FGCom. Raptor Policy</a>
	10.4	Wildlife Habitat	Structures	1052 1052.4(e) 1052.5(b)(4)(A) 1052.5(b)(4)(C)(i),(ii)	The effectiveness of Section 1052 Emergency Notice, with respect to retention of habitat structural elements and biological legacies.	CDFW (2015)	<a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom. Raptor Policy</a>
	10.5	Wildlife Habitat	Oak	959.15	The effectiveness of Section 959.15, Protection of Wildlife Habitat, in retaining and protecting 400 sq. ft. basal area of oak per 40 acres, "...on areas designated by DFG as deer migration corridors, holding areas, or key ranges when consistent with good forestry practices."	CDFW (2015)	<a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom. Raptor Policy</a> <a href="#">FGCom/BOARD Hardwoods Policy</a>
	10.6	Wildlife Habitat	Aspen	913.4 [933.4, 953.4] (e)	The effectiveness of Section 913.4(e), Aspen, meadow and wet area restoration, "...to restore, retain, or enhance...for ecological or range values."	CDFW (2015)	<a href="#">FGCom T&amp;E Species Policy</a> <a href="#">FGCom. Raptor Policy</a> <a href="#">FGCom/BOARD Hardwoods Policy</a>

**Comment [SLF19]:** Additional policies provided by Bill C. and Mandy C. CDFW. Also provided by Nick K at Water Board.

\* BOF-FPC = Forest Practices Committee, BOF-RPC = Resource Protection Committee, BOF-MC = Management Committee, MSG = Monitoring Study Group

## APPENDIX E: SUMMARY OF EMC REVIEWED PROJECTS

The following summary table is a catalog of proposed monitoring projects received or developed by the Effectiveness Monitoring Committee. Following the summary table are individual Project Summary(s) that provide more detailed project information.

Project Number	Project Title	Current Status	Principal Investigator(s)
EMC-2014-001	Class II-L Monitoring		D. Coe
EMC-2014-002	FORPRIEM (revised)- Watercourse Crossing Monitoring		P. Cafferata, D. Coe
EMC-2014-003	<del>FORPRIEM (revised)- WLPZ Total Canopy Monitoring</del>		<del>P. Cafferata, D. Coe</del>
EMC-2014-004			
EMC-2014-005	Road Rules - effectiveness of reducing mass wasting		D. Coe
EMC-2014-006	Road Rules - effectiveness of reducing hydrologic disconnection and surface erosion.		D. Coe
EMC-2014-007	Effectiveness of Class II headwater WLPZ for water temperature, near stream humidity and stream flow		NCRWQCB
EMC-2014-008	Post-harvest effectiveness of WLPZ measures to maintain or enhance coho ( <i>Oncorhynchus kisutch</i> ) in forested watersheds		Public Comment
EMC-2014-009	Redding THP Review Pilot Project		CALFIRE
EMC-2014-010	Monitoring relative abundance of anadromous species in forested watersheds		MSG (2009)
EMC-2014-011	Stream water and habitat quality monitoring - Pilot Project		C. James, J. Harrington
EMC-2014-012	Landscape-level long-term water temperature monitoring of forested watersheds		B. McFadin, R. Fadness
EMC-2014-013	Long-term trend monitoring of SWAMP sites		J. Burke NCRWQCB State Board

## APPENDIX F: INDIVIDUAL EMC REVIEWED PROJECT(S)

Project Number: EMC-2014-001  
Project Name: Class II-L Monitoring

**Background and Justification:** Conflicts in implementing the original Class II-L rules led to passage of the regulation titled "Class II-L Identification and Protection, 2013", which went into effect on January 1, 2014. These new rules created two methods to help determine the presence of Class II-L watercourses based on either a threshold drainage area or active channel width necessary to sustain the function of a Class II-L watercourse. Due to the uncertainty associated with Class II-L watercourses, the Board placed a sunset provision (i.e., January 1, 2019) on these determination methods pending further evaluation of the efficacy of Class II WLPZs widths in achieving the goals outlined in CCR 916.9[936.9, 956.9] subsection (a). The Department was also directed to report to the Board at least once annually on the use and effectiveness of the Class II-related rules.

**Comment [SLF69]:** Updated project summary provided by Drew C.

**Objective(s) and Scope:** The objectives of this project are framed as general monitoring questions, and the scope of this monitoring are the areas subject to the Anadromous Salmonid Protection Rules. The rationale for these monitoring questions are explained in the concept proposal titled "Class II-L Monitoring: Concept Proposals." Monitoring questions are the following:

- 1) Are the Class II-L identification methods resulting in conflicts between Review Team personnel and the regulated public?
- 2) Are the drainage area values consistent with an active channel width of five feet?
- 3) Are the Class II-L identification methods effective in identifying watercourses that have the potential to translate thermal impacts to Class I watercourses? Is one method (i.e., width vs. area) better than the other?
- 4) Are the Class II-L identification methods effective in identifying watercourses that have the potential to transport LWD to Class I watercourses through debris flow processes?
- 5) Are the Class II WLPZ riparian standards effective in achieving the goals outline in 14 CCR § 916.9[936.9, 956.9] subsection (a)?

**Rule or Regulation:** 14 §CCR 916.9 (936.9, 956.9)(a)and (g)

**EMC Critical Question or Priority:** See Section 2.4, Theme 1

**Collaborators:** CAL FIRE, DFW, North Coast Water Quality Control Board, Central Valley Regional Water Quality Control Board, California Geological Survey.

**Existing or Needed Funding:** In kind staff contribution

**Timeline and Fiscal year (s):** Questions 1 through 4 – December 2018; Question 5 - ?

Submitted by-~~XXXXXX~~ 10/29/14 Drew Coe, 9/15/15

Note: Rule or Regulation = Forest Practice Rule, Water Quality Objective or Fish and Wildlife Code or Regulation.

Project Number: EMC-2014-002  
 Project Name: FORPRIEM (revised) WLPZ, watercourse crossing, and road monitoring

**Comment [WU70]:** Combine all 3 components into one for FORPRIEM.

**Background and Justification:**

FORPRIEM monitoring is CAL FIRE's only direct 'project monitoring' of THPs and NTMPs, except for Forest Practice inspections, and remains a very high priority for the Department. The first version of FORPRIEM ran from 2008-2013, with a detailed report finished at the end of last year (Brandow and Cafferata 2014). In that report, several suggestions were stated for the next version of FORPRIEM (ver. 2.0), including:

- (1) Using a stratified random draw of completed THPs, NTMP-NTOs to better test the FPRs on a larger percentage of higher risk sites. Use ArcGIS to stratify based on slope, surface soil erosion hazard, geology (landslide risk), etc.
- (2) Modifying the FORPRIEM methods to accommodate changes to the Forest Practice Rules, including the ASP rules that were implemented in 2010, and the Road Rules, 2013 rule package, adopted in 2014 and effective January 2015. In particular, new methods are needed to monitor and evaluate WLPZs with ASP-required multiple zones.
- (3) Gathering input from the BOF's Effectiveness Monitoring Committee on revisions to FORPRIEM and making an attempt to better utilize the other Review Team agencies to collect field data.

**Objective(s) and Scope:** The objectives of FORPRIEM remain to determine the implementation and short-term effectiveness of the Forest Practice Rules implemented on the ground related to water quality.

**Rule or Regulation:** 14 CCR §§ 913 (933, 953); 914 (934, 054); 916 (936, 956); 923 (943, 963)

**EMC Critical Question or Priority:** High priority

**Collaborators:** CALFIRE, NCRWQCB, CVRWQCB, CGS, DFW

**Existing or Needed Funding:** No additional funding required; CAL FIRE provides staff to collect data.

**Timeline and Fiscal year (s):** Finish draft methods document in 2015; beta test revised procedures in early 2016; schedule training sessions in spring of 2016; implement in summer 2016. Collect data for a minimum of 3-5 years.

Submitted by ~~XXXXXXXX~~ 10/29/14 Pete

Note: Rule or Regulation = Forest Practice Rule, Water Quality Objective or Fish and Wildlife Code or Regulation.

Project Number: EMC-2014-003

Project Name: ~~FORPRIEM (revised) - WLPZ Canopy~~ Monitoring

**Comment [SLF71]:** Delete based on Pete C. comments regarding FORPRIEM

**Background and Justification:**

*Suggested sub-topics:*

*Initial Stakeholder concern,*

*Conservation or Recovery Plan objectives*

*Board, Agency or Department Priority*

**Objective(s) and Scope:**

**Rule or Regulation:**

**EMC Critical Question or Priority:**

**Collaborators:** ~~CALFIRE, NCRWQCB, CVRWQCB, CGS, DFW~~

**Existing or Needed Funding:**

**Timeline and Fiscal year (s):**

**Principal Investigator or Contact:** ~~Pete Cafferata, CALFIRE~~

Submitted by XXXXXXXXX 10/29/14

Note: Rule or Regulation = Forest Practice Rule, Water Quality Objective or Fish and Wildlife Code or Regulation.

Project Number: EMC-2014-004

Project Name:

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**Background and Justification:**

*Suggested sub-topics:*

*Initial Stakeholder concern,*

*Conservation or Recovery Plan objectives*

*Board, Agency or Department Priority*

**Objective(s) and Scope:**

**Rule or Regulation:**

**EMC Critical Question or Priority:**

**Collaborators:**

**Existing or Needed Funding:**

**Timeline and Fiscal year (s):**

**Principal Investigator or Contact:**

*Submitted by XXXXXXXXX 10/29/14*

*Note: Rule or Regulation = Forest Practice Rule, Water Quality Objective or Fish and Wildlife Code or Regulation.*

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Project Number: EMC-2014-005  
Project Name: Road Rules - Effectiveness of reducing mass wasting

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**Background and Justification:**

*Suggested sub-topics:*

*Initial Stakeholder concern,*

*Conservation or Recovery Plan objectives*

*Board, Agency or Department Priority*

**Objective(s) and Scope:**

**Rule or Regulation:**

**EMC Critical Question or Priority:**

**Collaborators:** CALFIRE, NCWQCB, CGS

**Existing or Needed Funding:**

**Timeline and Fiscal year (s):**

**Principal Investigator or Contact:** D. Coe, CALFIRE

*Submitted by XXXXXXXXX 10/29/14*

*Note: Rule or Regulation = Forest Practice Rule, Water Quality Objective or Fish and Wildlife Code or Regulation.*

Project Number: EMC-2014-006  
 Project Name: Road Rules - Effectiveness of reducing hydrologic disconnection and surface erosion.

**Background and Justification:** This project proposes to monitor changes in key indicators of forest road performance that result from the implementation of the “Road Rules, 2013 Rule Package” (Road Rules). The proposed monitoring approach is part of a broader strategy to evaluate ecological performance in non-federal forestlands regulated by the California Forest Practice Act and Rules. Roads can alter hydrologic and geomorphic process in ways that can adversely impact aquatic ecosystems. As such, a process-based evaluation of the effectiveness of the Road Rules is vital to assessing the overall performance of the California Forest Practice Rules.

**Comment [SLF72]:** Updated project summary provided by Drew C.

**Objective(s) and Scope:** The objectives of this project are framed as general monitoring questions, and the scope of this monitoring applies to all Forest Practice Districts. The rationale for these monitoring questions are explained in the concept proposal titled “Road Condition Monitoring – Concept Proposal.” The primary question is whether the road attributes that affect surficial sediment production (i.e., surface erosion) and delivery improved after implementation of the Road Rules? To answer this general question, several specific questions are posed such as:

1. Has the length/area of roads draining to watercourses decreased after the implementation of the Road Rules?
2. Have the road attributes affecting surface erosion for connected road segments improved since the implementation of the Road Rules?

**Rule or Regulation:** 14 § CCR 923.1, 943.1, 963.1

**EMC Critical Question or Priority:** Are the FPRs and associated regulations effective in:  
 (a) reducing or minimizing management-related generation of sediment and delivery to watercourse channels.  
 (b) reducing generation and sediment delivery to watercourse channels when timber operations implement the Road Rules 2013 measures.

**Collaborators:** CAL FIRE, NCWQCB, CGS

**Existing or Needed Funding:** In kind staff contribution or hire contractor to perform inventory work.

**Timeline and Fiscal year (s):** Estimated minimum of 3 years.

**Principal Investigator or Contact:** D. Coe, CALFIRE

Submitted by ~~XXXXXXXXX~~ 10/29/14 D. Coe, 9/15/15

Note: Rule or Regulation = Forest Practice Rule, Water Quality Objective or Fish and Wildlife Code or Regulation.

Project Number: EMC-2014-007  
Project Name: Effectiveness of Class II headwater WLPZ for water temperature,  
near stream humidity and stream flow

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**Background and Justification:**

*Suggested sub-topics:  
Initial Stakeholder concern,  
Conservation or Recovery Plan objectives  
Board, Agency or Department Priority*

**Objective(s) and Scope:**

**Rule or Regulation:**

**EMC Critical Question or Priority:**

**Collaborators:** CALFIRE, NCWQCB, Private forestland owners

**Existing or Needed Funding:**

**Timeline and Fiscal year (s):**

**Principal Investigator or Contact:**

*Submitted by XXXXXXXXX 10/29/14*

*Note: Rule or Regulation = Forest Practice Rule, Water Quality Objective or Fish and Wildlife Code or Regulation.*

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Project Number: EMC-2014-008  
Project Name: Post-harvest effectiveness of WLPZ measures to maintain or enhance coho (*Oncorhynchus kisutch*) in forested watersheds.

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**Background and Justification:**

*Suggested sub-topics:*

*Initial Stakeholder concern,*

*Conservation or Recovery Plan objectives*

*Board, Agency or Department Priority*

**Objective(s) and Scope:**

**Rule or Regulation:**

**EMC Critical Question or Priority:**

**Collaborators:**

**Existing or Needed Funding:**

**Timeline and Fiscal year (s):**

**Principal Investigator or Contact:**

*Submitted by XXXXXXXXX 10/29/14*

*Note: Rule or Regulation = Forest Practice Rule, Water Quality Objective or Fish and Wildlife Code or Regulation.*

Project Number: EMC-2014-009  
Project Name: Redding THP Review Pilot Project

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**Background and Justification:**

*Suggested sub-topics:*

*Initial Stakeholder concern,*

*Conservation or Recovery Plan objectives*

*Board, Agency or Department Priority*

**Objective(s) and Scope:**

**Rule or Regulation:**

**EMC Critical Question or Priority:**

**Collaborators:** CALFIRE, NCWQCB, CGS, CDFW

**Existing or Needed Funding:**

**Timeline and Fiscal year (s):**

**Principal Investigator or Contact:**

*Submitted by XXXXXXXXX 10/29/14*

*Note: Rule or Regulation = Forest Practice Rule, Water Quality Objective or Fish and Wildlife Code or Regulation.*

Project Number: EMC-2014-010  
Project Name: Monitoring relative abundance of anadromous species in forested watersheds.

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**Background and Justification:**

*Suggested sub-topics:*

*Initial Stakeholder concern,*

*Conservation or Recovery Plan objectives*

*Board, Agency or Department Priority*

**Objective(s) and Scope:**

**Rule or Regulation:**

**EMC Critical Question or Priority:**

**Collaborators:** Monitoring Study Group (MSG)

**Existing or Needed Funding:**

**Timeline and Fiscal year (s):**

**Principal Investigator or Contact:**

*Submitted by XXXXXXXXX 10/29/14*

*Note: Rule or Regulation = Forest Practice Rule, Water Quality Objective or Fish and Wildlife Code or Regulation.*

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Project Number: EMC-2014-011  
Project Name: Stream water and habitat quality monitoring - Pilot project

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**Background and Justification:** The intent of this project is to establish a monitoring framework to support collaborative monitoring for applying California’s SWAMP ecological performance measures to evaluate water and habitat quality in streams on private forest lands. Direct collaborators include SWRCB, DFW, CALFIRE, CFA, and private forest owners. This project will also collaborate with US Forest Service scientists currently developing a similar probability based monitoring program with SWAMP on California public forest lands.

**Objective(s) and Scope:** This project will use the SWAMP Protocol which is a well-tested, standardized method for direct site assessment of channel hydrologic and geomorphic conditions, stream and riparian habitat type, water chemistry, and benthic macro invertebrate and algal community composition. Sites will be assessed using the full SWAMP protocol and additional measures relevant to forestry such as riparian canopy cover, vegetation and species stand type will be included. All sample locations will be permanently marked by monument to help field crews locate the exact stream site for future monitoring events performed. Sampling will be conducted by experienced SWAMP field crews, biological and chemical samples will be processed by certified laboratories. SWAMP bioassessment data provide direct measures of ecological condition and can be used to compare stream reaches across space and time.

**Rule or Regulation:**

**EMC Critical Question or Priority:**

**Collaborators:** SWRCB, DFW, CALFIRE, California Forestry Association, private landowners

**Existing or Needed Funding:**

**Timeline and Fiscal year (s):**

**Principal Investigator or Contact:** Cajun James, Sierra Pacific Industries  
Jim Harrington, DFW

*Submitted by XXXXXXXX 10/29/14*

*Note: Rule or Regulation = Forest Practice Rule, Water Quality Objective or Fish and Wildlife Code or Regulations.*

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Project Number: EMC-2014-012  
Project Name: Landscape-level long-term water temperature monitoring of forested watersheds.

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**Background and Justification:**

*Suggested sub-topics:*

*Initial Stakeholder concern,*

*Conservation or Recovery Plan objectives*

*Board, Agency or Department Priority*

**Objective(s) and Scope:**

**Rule or Regulation:**

**EMC Critical Question or Priority:**

**Collaborators:** CALFIRE, NCRWQCB, CDFW-SWAMP

**Existing or Needed Funding:**

**Timeline and Fiscal year (s):**

**Principal Investigator or Contact:** Bryan McFaddin, Rich Fadness

*Submitted by XXXXXXXXX*

*Note: Rule or Regulation = Forest Practice Rule, Water Quality Objective or Fish and Wildlife Code or Regulation*

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Project Number: EMC-2014-013  
Project Name: Long-term trend monitoring of SWAMP sites

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**Background and Justification:**

*Suggested sub-topics:*

*Initial Stakeholder concern,*

*Conservation or Recovery Plan objectives*

*Board, Agency or Department Priority*

This project involves the addition of continuous temperature monitoring in the warmer months (May to September) at a subset of sites routinely monitored as part of the SWAMP Status and Trend Monitoring Program. The Regional SWAMP Program rotates through watersheds on a planned basis as resources allow. The Regional Board believes this approach allows for the best use of resources given available resources.

**Objective(s) and Scope:**

The approach focuses on a few watersheds at a time, cycling back through them every four years as funding allows. The Regional SWAMP Program began the Status and Trend Monitoring Program in Fiscal Year (FY) 2000-01. The original monitoring design utilized a two-component approach to address regional monitoring: 1) long-term “permanent” monitoring sites for trend analysis, and 2) rotating “temporary” sites for basin surveys. The original rotation schedule was closely coordinated with the TMDL development schedule to provide additional current information on water quality parameters to the TMDL development process.

**Rule or Regulation:****EMC Critical Question or Priority:**

**Collaborators:** CALFIRE, NCRWQCB, CDFW-SWAMP

**Existing or Needed Funding:**

**Timeline and Fiscal year (s):** The current SWAMP work plan for Calendar (CY) 2012 through CY 2015 identifies 28 of the original long-term sites and 38 of the rotating basin sites for monitoring, while also adding 12 new sites. The Regional Temperature Monitoring Program will monitor temperature at a subset of these sites to monitor temperature status and trends at key locations.

**Principal Investigator or Contact:** J. Burke, NCRWQCB, State Board

*Submitted by XXXXXXXXX*

*Note: Rule or Regulation = Forest Practice Rule, Water Quality Objective or Fish and Wildlife Code or Regulation*

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**APPENDIX G: RANKING OF PROPOSED EFFECTIVENESS MONITORING PROJECTS**

Project Number	Project Title	Critical Question	Scientific Uncertainty	Geographic Application	Collaboration & Feasibility	Overall Ranking
Example: EMC-15-001						

**Ranking Method for EMC Proposed Monitoring Projects**

**Critical Question Ranking:** Proposed monitoring project addresses one or more EMC critical monitoring questions with appropriate study design and experimental methods.

**Scientific Uncertainty:** Current scientific understanding is not well-studied or validated. This ranking is weighed twice (2 times) the weight of other rankings.

**Geographic Application:** Critical question and proposed project has broad geographic scope.

**Collaboration & Feasibility Ranking:** Number of active contributing collaborators relative to the monitoring subject. Consider the magnitude and expertise of the collaborators. Feasibility of monitoring project to meet stated goals and objectives within expected budget and timelines needed by the EMC, Board or stakeholders.

On a categorical scale of 1 to 5, reviewers should refer to the following guidance when reviewing any category:

- 1 = Does not meet any portion of the Ranking
- 2 = Does not meet key portions of the Ranking
- 3 = May meet some portions of the Ranking, either key or ancillary.
- 4 = Meets key portions of the Ranking and does not address ancillary portions.
- 5 = Meets all portions of the Ranking

## APPENDIX H: CATALOG OF ONGOING COOPERATIVE AND INDIVIDUAL MONITORING PROJECTS

N o.	Monitoring Entity	Study Title	General Monitoring Objectives/Hypothesis Being Investigated; Principle Investigator(s)	Geomorphic Province Region	Online Websites and Other Available Information
<b>Cooperative Projects</b>					
1	CAL FIRE (with assistance from CGS, DFW, and RWQCBs, EMC)	Forest Practice Rules Implementation and Effectiveness Monitoring FORPRIEM (revised)	Data on FPR implementation and effectiveness related to water quality (program to be revised in 2015 for new road rules, stratified random sampling, and to reflect input from the EMC). Clay Brandow was PI; Pete Cafferata, Drew Coe, and Stacy Stanish to lead revision work in 2015.	Coast Ranges, Klamath Mountains, Cascade Range, Modoc Plateau, Sierra Nevada	The FORPRIEM report with data from 2008-2013 with revision recommendations is available at: <a href="http://bofdata.fire.ca.gov/board_committees/monitoring_study_group/mstg_monitoring_reports/forpriem_report_final_022715.pdf">http://bofdata.fire.ca.gov/board_committees/monitoring_study_group/mstg_monitoring_reports/forpriem_report_final_022715.pdf</a>
2	CAL FIRE and USFS PSW	Caspar Creek Experimental Watersheds—New 3 <sup>rd</sup> Experiment (South Fork); 2 <sup>nd</sup> Experiment (North Fork) Recovery	Study plan for the Third Experiment in the South Fork is under development by Salli Dymond, USFS PSW. Hydrologic impacts of 3 <sup>rd</sup> cycle logging using unevenaged management. North Fork (Second Experiment) recovery monitoring continues. Matt Busse, Leslie Reid, Liz Keppeler are PIs.	Coast Ranges	Caspar Creek published papers are at: <a href="http://www.fs.fed.us/psw/topics/water/caspar/">http://www.fs.fed.us/psw/topics/water/caspar/</a> The third experiment is discussed in the 50 year Caspar summary paper: <a href="http://calfire.ca.gov/resource_mgmt/downloads/reports/California_Forestry_Report_5.pdf">http://calfire.ca.gov/resource_mgmt/downloads/reports/California_Forestry_Report_5.pdf</a>
3	Cal Poly SLO and CAL FIRE, Oregon State University	Post-Harvest and Post-Fire Watershed Response in the Little Creek Watershed	Study documents NTMP harvest impacts (one winter period) and 2009 Lockheed Fire impacts (three winter periods) in the Little Creek watershed. Brian Dietterick is PI. Final report finished in July 2015.	Coast Ranges	The Little Creek watershed study is described at: <a href="http://spranch.calpoly.edu/research/watershed.ldml">http://spranch.calpoly.edu/research/watershed.ldml</a> Several Little Creek MS theses available.
4	Cal Poly SLO and CAL FIRE (anticipated)	Predicting Instream Community Structure to Inform Spatially-Explicit	Study planned to be conducted in the Little Creek watershed, Swanton Pacific Ranch, documenting site-specific WLPZ management impacts using	Coast Ranges	Not available at this time.

N o.	Monitoring Entity	Study Title	General Monitoring Objectives/Hypothesis Being Investigated; Principle Investigator(s)	Geomorphic Province Region	Online Websites and Other Available Information
		Riparian Management Strategies	bioassessment methods; Brian Dietterick and Chris Surfleet are PIs.		
5	Campbell Global, LLC and CAL FIRE	South Fork Wages Creek Cooperative Instream Monitoring Project	THP-scale water quality effectiveness monitoring project began in 2004—expected completion in 2020. Kevin Faucher is PI.	Coast Ranges	Data from the first year sampled at SF Wages Creek (2004-2005) are available at: <a href="http://bofdata.fire.ca.gov/board_committees/monitoring_study_group/msg_supported_reports/2005_supported_reports/31_-_gma_2005_sf_wages_wy2004-2005.pdf">http://bofdata.fire.ca.gov/board_committees/monitoring_study_group/msg_supported_reports/2005_supported_reports/31_-_gma_2005_sf_wages_wy2004-2005.pdf</a>
6	Campbell Global, LLC and DFW	Pudding Creek Large Wood BACI Experiment	Treat 80% of Pudding Creek with large wood and determine if there is an increase in life stage specific abundance of juvenile salmonids. Sean Gallagher and Dave Wright are PIs.	Coast Ranges	See: Gallagher, S.P., S. Thompson, and D.W. Wright. 2011. Identifying factors limiting coho salmon to inform stream restoration in coastal Northern California. California Fish and Game 98(4):185-201.
7	DFW, USFWS	Fisher Translocation Project	The fisher ( <i>Martes pennanti</i> ) translocation project has relocated individuals from their northern California extent above Shasta Lake to a northern Sierra, Stirling City location. DFW and USFWS have radio-collared most individuals and are tracking their habitat use and breeding success. They also have set camera stations in known denning areas. Rich Callas is PI.	Cascade Range, Sierra Nevada	See: <a href="https://r1.dfg.ca.gov/portal/FisherTranslocation/tabid/832/Default.aspx">https://r1.dfg.ca.gov/portal/FisherTranslocation/tabid/832/Default.aspx</a>
8	Green Diamond Resource Co., Oregon State University, USFS PSW and PNW	Riparian Canopy Experiment	Reach and watershed-scale experiment to test if thinning riparian areas to enhance light and nutrient input will improve salmonid production; pilot project implemented. Matt House and Lowell Diller are PIs.	Coast Ranges	<a href="http://bof.fire.ca.gov/board_committees/monitoring_study_group/msg_archived_documents/msg_archived_documents/_diller_bof_msg_canopy_density_experiment_12-10-13_.pdf">http://bof.fire.ca.gov/board_committees/monitoring_study_group/msg_archived_documents/msg_archived_documents/_diller_bof_msg_canopy_density_experiment_12-10-13_.pdf</a>

N o.	Monitoring Entity	Study Title	General Monitoring Objectives/Hypothesis Being Investigated; Principle Investigator(s)	Geomorphic Province Region	Online Websites and Other Available Information
9	Green Diamond Resource Co., CSU, CAL FIRE	Quantifying Cumulative Watershed Effects Over Time in the Little River Watershed, Humboldt County	Water quality and fisheries data collected by GDRCo in the Little River watershed from 2004-2014 will be analyzed; project to be conducted from 2015-2017. Lee MacDonald and Phil Turk (CSU) are PIs.	Coast Ranges	Not available at this time.
10	Humboldt Redwood Company, HSU, CAL FIRE, and CGS	Railroad Gulch BMP Evaluation Project	Paired watershed study associated with the McCloud Shaw THP in the Elk River watershed; expected completion 2020. Andy Stubblefield, HSU, is PI.	Coast Ranges	See Michelle Haskins HSU MS project description at: <a href="http://www2.humboldt.edu/fwr/grad_students/detail/michelle_haskins">http://www2.humboldt.edu/fwr/grad_students/detail/michelle_haskins</a>
11	NCRWQCB and The Nature Conservancy	Garcia River Monitoring Program	EMAP/SWAMP physical habitat and biological monitoring to evaluate conditions and trends per the Garcia River TMDL. Jonathan Warmerdam and Jennifer Carah are PIs.	Coast Ranges	2012 Monitoring Plan is available at: <a href="http://www.waterboards.ca.gov/water_issues/programs/swamp/docs/wor_kplans/final_garcia_reg_one.pdf">http://www.waterboards.ca.gov/water_issues/programs/swamp/docs/wor_kplans/final_garcia_reg_one.pdf</a>
12	Sierra Pacific Industries and CAL FIRE	Judd Creek Cooperative Instream Monitoring Project	THP-scale effectiveness monitoring study to determine the impacts from the Engebretsen THP. Cajun James is PI; final report in progress.	Sierra Nevada	See abstract at: <a href="http://abstractsearch.agu.org/meetings/2012/FM/EP52C-08.html">http://abstractsearch.agu.org/meetings/2012/FM/EP52C-08.html</a>
13	UC Davis and CAL FIRE	Bedload Transport Regimes in Coarse Cobble-Bedded Streams	Field-based and flume experiments to study interactions between hydrograph shape and bedload transport. NF Caspar Creek field study site. Sarah Yarnell, UC Davis, and Lucas Siegfried (PhD student) are PIs.	Coast Ranges	<a href="https://watershed.ucdavis.edu/project/impacts-hydrograph-shape-sediment-transport">https://watershed.ucdavis.edu/project/impacts-hydrograph-shape-sediment-transport</a>
Individual Projects					
14	Campbell Global, LLC	SF Ten Mile Streamflow and Sediment Monitoring	Sediment data collection to validate TMDL estimates. Kevin Faucher is PI.	Coast Ranges	Not available at this time.
15	DFW	Stream Temperature and Microclimate Study	Document changes in microclimate, air, and stream temperatures on JDSF and Russian Gulch SP; study established in 2001. Brad Valentine was PI for DFW.	Coast Ranges	<a href="http://www.academia.edu/8133134/A_Preliminary_Study_of_Streamside_Air_Temperatures_Within_the_Coast_Redwood_Zone_2001_to_20031">http://www.academia.edu/8133134/A_Preliminary_Study_of_Streamside_Air_Temperatures_Within_the_Coast_Redwood_Zone_2001_to_20031</a>
16	DFW	Ecosystem Biodiversity Monitoring	Long-term monitoring (vegetation plots and camera stations) of terrestrial biodiversity at the ecoregion scale from the Cascades to the Central	Klamath Mountains, Cascade	<a href="https://r1.dfg.ca.gov/portal/EcosystemBiodiversityMonitoringProject/EBMProjectDescription/tabid/843/Default">https://r1.dfg.ca.gov/portal/EcosystemBiodiversityMonitoringProject/EBMProjectDescription/tabid/843/Default</a>

N o.	Monitoring Entity	Study Title	General Monitoring Objectives/Hypothesis Being Investigated; Principle Investigator(s)	Geomorphic Province Region	Online Websites and Other Available Information
			Sierra (DFW Regions 1 and 2). Karen Kovacs is Program Manager.	Range, Modoc Plateau	<a href="#">.aspx</a>
17	DFW	Great Gray Owl Nest/Meadow Monitoring	Targeted monitoring of exceptional great gray owl habitat (large meadows >20 acres and associated surrounding forest structure), including meadow searches for feathers and pellets, nighttime calling surveys. Joe Croteau and Andy Yarusso are PIs.	Sierra Nevada, Cascade Range, Modoc Plateau	See abstract at: <a href="http://www.wildlifeprofessional.org/western/tws_abstract_session_list.php?sessionID=48">http://www.wildlifeprofessional.org/western/tws_abstract_session_list.php?sessionID=48</a>
18	Fruit Growers Supply Company	Wildlife Camera Station Monitoring Project	Extensive camera station monitoring across FGS ownership (more details to be provided).	Klamath Mountains	Not available at this time.
19	Green Diamond Resource Co.	Aquatic HCP Monitoring Studies	Fisheries, sediment, water temperature, turbidity, amphibians, road erosion monitoring to validate HCP standards. Matt House is PI.	Coast Ranges	<a href="https://greendiamond.com/responsible-forestry/california/reports/4thBiennialReport_(Final_With_Appendices).pdf">https://greendiamond.com/responsible-forestry/california/reports/4thBiennialReport_(Final_With_Appendices).pdf</a>
20	Green Diamond Resource Co.	Class III Sediment Monitoring Study	Sediment fences installed on headwater channels to monitor sediment delivery. Matt House is PI.	Coast Ranges	Not available at this time.
21	Humboldt Redwood Company	Aquatic HCP Monitoring Studies	Fisheries, sediment, water temperature, turbidity, road erosion monitoring to validate HCP standards. Mike Miles is Program Manager.	Coast Ranges	HRC aquatic condition monitoring reports are available at: <a href="http://www.hrllc.com/monitoring/aquatic-conditions/">http://www.hrllc.com/monitoring/aquatic-conditions/</a>
22	Mattole Restoration Council	Mattole River Watershed Turbidity Monitoring	Monitor turbidity response to sediment reduction work in the Mattole River watershed. Sungnome Madrone is PI.	Coast Ranges	Not available at this time.
23	Mendocino Redwood Company	Turbidity and Suspended Sediment Monitoring in the SF Albion River Watershed	Study to determine if turbidity and suspended sediment improves with road upgrading work. Kirk Vodopals is PI.	Coast Ranges	See: <a href="http://bofdata.fire.ca.gov/board_committees/monitoring_study_group/msg_archived_documents/msg_archive">http://bofdata.fire.ca.gov/board_committees/monitoring_study_group/msg_archived_documents/msg_archive</a>

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					<a href="#">d_documents/_vodopals_2013_s_for_k_albion_river_suspended_sediment_loads.pdf</a>
24	Mendocino Redwood Company	Coastal Tailed Frog/Southern Torrent Salamander/Salmonid Abundance and Distribution Studies	Monitor population levels to assess effectiveness of HCP/NCCP measures	Coast Ranges	MRC fisheries monitoring reports are available at: <a href="http://www.hrcllc.com/monitoring/aquatic-conditions/">http://www.hrcllc.com/monitoring/aquatic-conditions/</a>
25	Mendocino Redwood Company	Road Surface Erosion Monitoring Project	Establish a watershed-scale suspended sediment load in SF Albion River watersheds from roads and compare with results of SEDMODL. Kirk Vodopals is PI.	Coast Ranges	The MRC road surface erosion study is described in the following PPT: <a href="http://ucanr.org/sites/forestry/files/145281.pdf">http://ucanr.org/sites/forestry/files/145281.pdf</a>
26	Mendocino Redwood Company	Stream Temperature Monitoring Study	Monitor stream temperatures to assess effectiveness of HCP/NCCP measures. Kirk Vodopals is PI.	Coast Ranges	Not available at this time.
27	Roseburg Resource Company	Fisher Monitoring	Roseburg, in coordination with USFWS, is conducting camera station and track plate monitoring of fisher use in the Fountain Fire area near Burney.	Cascade Range	Not available at this time.
28	Salmon Forever	Freshwater and Elk River Water Quality Monitoring	Monitor to determine the adequacy of HRC AHCP standards and trends in water quality. Clark Fenton is PI; Jack Lewis is statistical consultant.	Coast Ranges	<a href="http://www.naturalresourceservices.org/projects/elk-river-and-freshwater-creek-sediment-monitoring-project">http://www.naturalresourceservices.org/projects/elk-river-and-freshwater-creek-sediment-monitoring-project</a>
29	Sierra Pacific Industries	Battle Creek Turbidity Monitoring Studies	Study to determine the impact of the logging, fire, and salvage logging on water quality parameters. Cajun James is PI.	Cascade Range	SPI's 2012 Battle Creek monitoring report is available at: <a href="http://www.spi-ind.com/research/JamesandMacDonaldGreaterBattleCreekWatershedUpdateAdditions_SPI.pdf">http://www.spi-ind.com/research/JamesandMacDonaldGreaterBattleCreekWatershedUpdateAdditions_SPI.pdf</a>

<b>N o.</b>	<b>Monitoring Entity</b>	<b>Study Title</b>	<b>General Monitoring Objectives/Hypothesis Being Investigated; Principle Investigator(s)</b>	<b>Geomorphic Province Region</b>	<b>Online Websites and Other Available Information</b>
30	Sierra Pacific Industries	Upper San Antonio Creek Monitoring Study	Determine the impact of evenaged silviculture on water quality parameters. Cajun James is PI.	Sierra Nevada	See: CH2M Hill. 2001. Water quality data review. Technical memorandum prepared by John Gaston for Sierra Pacific Industries dated July 10, 2001. 3 p.
31	Sierra Pacific Industries	Millseat and Baily Creek Temperature and Microclimate Study	Determine the effect of 75 ft riparian buffers on water quality parameters. Cajun James is PI.	Cascade Range	See: <a href="http://ceshasta.ucanr.edu/files/137630.pdf">http://ceshasta.ucanr.edu/files/137630.pdf</a>
32	Sierra Pacific Industries	2-14-102-TEH (The LiNe THP) Monitoring Studies	Monitor the water temperature, canopy, and sediment impacts from a 28 mile shaded fuel break in Tehama County (2015-2017) crossing 7 Class I ASP watercourses. Clayton Code is RPF.	Sierra Nevada	Not available at this time.
33	Sierra Pacific Industries	California Spotted Owl Monitoring	Extensive monitoring project with sites throughout the Sierra Nevada; Kevin Roberts is PI.	Sierra Nevada	See video at: <a href="https://www.youtube.com/watch?v=hCg6uYXd3tM">https://www.youtube.com/watch?v=hCg6uYXd3tM</a>
34	Sierra Pacific Industries	Camera Station Monitoring	Extensive wildlife camera station monitoring across SPI's ownership.	Sierra Nevada, Cascade Range, Klamath Mountains, Coast Ranges	Not available at this time.
35	Sierra Pacific Industries	Botanical Species Monitoring	Extensive botany monitoring across SPI ownership in coordination with Dean Taylor (more details to be provided)	Sierra Nevada, Cascade Range, Klamath Mountains, Coast Ranges	Not available at this time.

| see CGS 2002 for geomorphic ~~province~~region boundaries.